

**Topics for oral qualifying exam for Francesco Fiordalisi**  
**Spring, 2011**

**Major topic: Vertex operator algebras**

1. Definitions and properties.
  - (a) Formal calculus.
  - (b) The notions of vertex algebra and of vertex operator algebra, and basic properties.
  - (c) Rationality, commutativity and associativity; equivalence of various formulations, including “weak” formulations.
2. Representations of vertex (operator) algebras.
  - (a) The notion of module and basic properties.
  - (b) Weak vertex operators.
  - (c) The structure of the canonical weak vertex algebra. Local subalgebras and vertex subalgebras of the canonical weak vertex algebra.
  - (d) The equivalence between modules and representations.
  - (e) General construction theorems for vertex (operator) algebras and modules.
3. Examples of vertex (operator) algebras and modules.
  - (a) Vertex (operator) algebras and modules based on the Virasoro algebra.
  - (b) Vertex (operator) algebras and modules based on affine Lie algebras.
  - (c) Vertex (operator) algebras and modules based on Heisenberg Lie algebras.
  - (d) Vertex (operator) algebras and modules on even lattices.
  - (e) Vertex operator construction of the affine Lie algebras corresponding to  $A_n$ ,  $D_n$  and  $E_n$ .
4. Partition identities, Rogers-Ramanujan recursion.
  - (a) Elementary theory of partitions; Euler’s partition identity, Jacobi triple product identity, Euler’s pentagonal number theorem.

- (b) Rogers-Ramanujan identities and Gordon's generalization; Andrews-Gordon identity.
- (c) Vertex operator construction of  $\widehat{sl_2(\mathbb{C})}$  standard modules, principal subspaces. Level 1 case, Rogers-Ramanujan recursion; higher levels and Rogers-Selberg recursion.

### Minor topic: Kac-Moody algebras

1. Poincaré-Birkhoff-Witt theorem.
2. Definitions and properties.
  - (a) Root space decompositions.
  - (b) The invariant bilinear form and the generalized Casimir element.
  - (c) The Weyl group
  - (d) Real and imaginary roots, definitions and properties.
3. Affine Lie algebras
  - (a) Affine Lie algebras as central extensions of loop algebras
  - (b) Classification of affine Lie algebras, twisted and untwisted
  - (c) Explicit description of root system and Weyl group
4. Representation theory of Kac-Moody algebras
  - (a) Integrable representations of Kac-Moody algebras
  - (b) The category  $\mathcal{O}$ , highest-weight modules and Verma modules
  - (c) Formal characters of modules in  $\mathcal{O}$
  - (d) Integrable highest-weight modules over Kac-Moody algebras, the character formula, the numerator formula and the denominator formula
  - (e) Specializations of the character
  - (f) Explicit description for affine Lie algebras

## References

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- [CLM] S. Capparelli, J. Lepowsky, and A. Milas, The Rogers-Ramanujan recursion and intertwining operators, Commun. Contemp. Math. 5 (2003)
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- [FL] A. Feingold, J Lepowsky, The Weyl-Kac Character Formula and Power Series Identities, Adv. in Math. 29 (1978)
- [FHL] I. Frenkel, Y.-Z. Huang and J. Lepowsky, On Axiomatic Approaches to Vertex Operator Algebras and Modules, Memoirs Amer. Math. Soc. 104 (1993), 947-966.
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- [LL] J. Lepowsky and H. Li, Introduction to vertex operator algebras and their representations, Birkhäuser, 2004.
- [L] J. Lepowsky, Lectures on Kac-Moody Lie algebras, Université Paris VI, 1978.