

Universal Graphs (with Forbidden Subgraphs)

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HIM, Bonn

1 The problem

2 Examples

3 Conjectures

4 Exclusion Methods

5 Positive Methods

The players

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Subgraphs)

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C : a finite connected graph

The problem

Examples

Conjectures

Exclusion
Methods

Positive
Methods

The players

Universal
Graphs
(with
Forbidden
Subgraphs)

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The problem

Examples

Conjectures

Exclusion
Methods

Positive
Methods

C : a finite connected graph
 \mathcal{G}_C : the set of countable C -free graphs

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(with
Forbidden
Subgraphs)

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The problem

Examples

Conjectures

Exclusion
Methods

Positive
Methods

C : a finite connected graph

\mathcal{G}_C : the set of countable C -free graphs

Problem

Is there a countable universal C -free graph?

(What does this say about C ?)

Four Problems

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The problem

Examples

Conjectures

Exclusion
Methods

Positive
Methods

Problem

- 1 *Is there a countable universal C -free graph?*
- 2 *Is there a countable universal C -free graph with oligomorphic automorphism group?*
- 3 *If so, how does one make the graph homogeneous?*
- 4 *And is the universal minimal flow metrizable (what is the structural Ramsey theorem)?*

Four Problems

Universal
Graphs
(with
Forbidden
Subgraphs)

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The problem

Examples

Conjectures

Exclusion
Methods

Positive
Methods

Problem

- 1 *Is there a countable universal C -free graph?*
- 2 *Is there a countable universal C -free graph with oligomorphic automorphism group?*
- 3 *If so, how does one make the graph homogeneous?*
- 4 *And is the universal minimal flow metrizable (what is the structural Ramsey theorem)?*

Note: We can give a criterion for #2 which implies that in #3 we can take a finite relational language.

The Model Theoretic Problem

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(with
Forbidden
Subgraphs)

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The problem

Examples

Conjectures

Exclusion
Methods

Positive
Methods

\mathcal{C} a finite set of finite relational structures

$T_{\mathcal{C}}$ the theory of \mathcal{C} -free structures

$T_{\mathcal{C}}^*$ the theory of existentially closed \mathcal{C} -free structures

Problem

Can one compute the model theoretic properties of $T_{\mathcal{C}}^$ from the data \mathcal{C} ?*

- Countable universal \mathcal{C} -free with oligomorphic automorphism group: $T_{\mathcal{C}}^*$ is \aleph_0 -categorical;
- Countable universal \mathcal{C} -free: $T_{\mathcal{C}}^*$ is small;
- stable, ω -stable, simple, ...

1 The problem

2 Examples

3 Conjectures

4 Exclusion Methods

5 Positive Methods

Playing with blocks

Block: Maximal 2-connected.
The tree of blocks: cut vertices and blocks.

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(with
Forbidden
Subgraphs)

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The problem

Examples

Conjectures

Exclusion
Methods

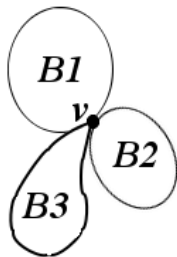
Positive
Methods

Playing with blocks

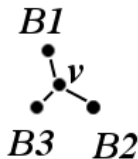
Block: Maximal 2-connected.

The tree of blocks: cut vertices and blocks.

Example: starlike with three blocks.



Γ



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The case of 1 block

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The problem

Examples

Conjectures

Exclusion
Methods

Positive
Methods

Fact (Füredi-Komjáth)

Let C be 2-connected. Then the following are equivalent.

- *There is a countable universal C -free graph;*
- *There is a countable universal C -free graph with oligomorphic automorphism group;*
- *C is complete.*

The case of 2 blocks

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Subgraphs)

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Examples

Conjectures

Exclusion
Methods

Positive
Methods

Fact (FK,Kom,ChT)

Let C have two blocks, of orders $m \leq n$. Then the following are equivalent.

- *There is a countable universal C -free graph;*
- *There is a countable universal C -free graph with oligomorphic automorphism group;*
- *The blocks are complete, with $m \leq 5$ and $(m, n) \neq (5, 5)$.*

Why not (5, 5)?

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(with
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Subgraphs)

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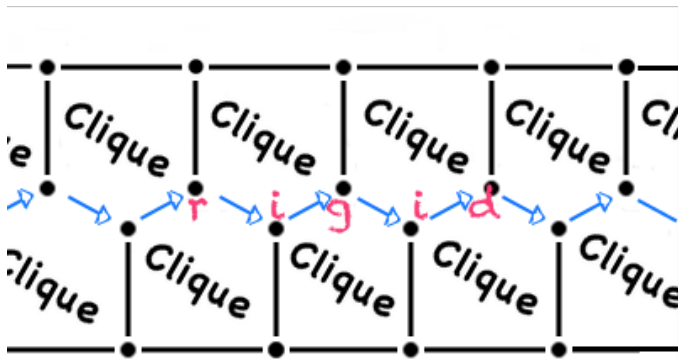
The problem

Examples

Conjectures

Exclusion
Methods

Positive
Methods



$(K_5 \wedge K_5)$ - free

(Rigidity)

The case of trees

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Graphs
(with
Forbidden
Subgraphs)

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The problem

Examples

Conjectures

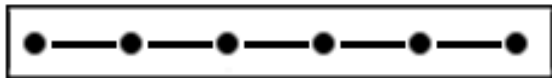
Exclusion
Methods

Positive
Methods

Fact (KMP,ChT,ChSh)

Let C be a tree. Then the following are equivalent.

- *There is a countable universal C -free graph **with oligomorphic automorphism group**;*
- *C is a path.*



The case of trees

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Graphs
(with
Forbidden
Subgraphs)

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The problem

Examples

Conjectures

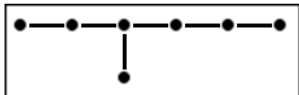
Exclusion
Methods

Positive
Methods

Fact (KMP,ChT,ChSh)

Let C be a tree. Then the following are equivalent.

- There is a countable universal C -free graph;



- C is a *near-path*.

- 1 The problem
- 2 Examples
- 3 Conjectures**
- 4 Exclusion Methods
- 5 Positive Methods

Reasonable Conclusions

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Graphs
(with
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Subgraphs)

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The problem

Examples

Conjectures

Exclusion
Methods

Positive
Methods

If we want universal C -free graphs, then apparently

- the blocks should be complete;
- the block structure should be path-like;
- there is not much difference between the oligomorphic case and the general case;
- and where they differ, the oligomorphic case is cleaner.

Reasonable Conjectures

Conjecture

If there is a countable universal C -free graph, then

- 1 ***Solidity** The blocks of C are complete;*
- 2 ***Pathlike** After removal of some external paths (whiskers), the tree of blocks becomes a path.*



Reasonable Conjectures

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The problem

Examples

Conjectures

Exclusion
Methods

Positive
Methods

Conjecture

If there is a countable universal C -free graph, then

- 1 ***Solidity** The blocks of C are complete;*
- 2 ***Pathlike** After removal of some external paths (whiskers), the tree of blocks becomes a path.*

Theorem (Cherlin/Shelah)

If there is a countable universal C -free graph and C is a block-path, then the blocks of C are complete. Therefore the second conjecture implies the first.

- 1 The problem
- 2 Examples
- 3 Conjectures
- 4 Exclusion Methods**
- 5 Positive Methods

The Three Exclusion Methods

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(with
Forbidden
Subgraphs)

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The problem

Examples

Conjectures

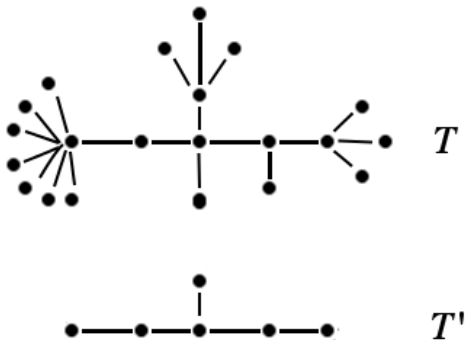
Exclusion
Methods

Positive
Methods

- Corner Pruning;
- Symmetric Pruning;
- The Hypergraph Template (Rigidity)

Pruning Trees

The case of trees: $T' = T \setminus \text{leaves}$



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The problem

Examples

Conjectures

Exclusion
Methods

Positive
Methods

Pruning Lemma IA

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Graphs
(with
Forbidden
Subgraphs)

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The problem

Examples

Conjectures

Exclusion
Methods

Positive
Methods

Lemma

Let T be a finite tree for which there is a countable universal T -free graph. Then there is a countable universal T' -free graph. The same reduction applies if we require an oligomorphic automorphism group.

Pruning Lemma IA

Universal
Graphs
(with
Forbidden
Subgraphs)

Gregory
Cherlin

The problem

Examples

Conjectures

Exclusion
Methods

Positive
Methods

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Let T be a finite tree for which there is a countable universal T -free graph. Then there is a countable universal T' -free graph. The same reduction applies if we require an oligomorphic automorphism group.

Proof.

Let Γ_T be universal T -free and $\Gamma_{T'}$ the induced graph on the vertices of infinite degree. Then $\Gamma_{T'}$ is universal T' -free. \square

Pruning Lemma IA

Universal
Graphs
(with
Forbidden
Subgraphs)

Gregory
Cherlin

The problem

Examples

Conjectures

Exclusion
Methods

Positive
Methods

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Proof.

Let Γ_T be universal T -free and $\Gamma_{T'}$ the induced graph on the vertices of infinite degree. Then $\Gamma_{T'}$ is universal T' -free. \square

This suffices to classify the trees T allowing a countable universal T -free graph: one considers only trees T which prune to a near-path.

Pruning Corners

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Graphs
(with
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Subgraphs)

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The problem

Examples

Conjectures

Exclusion
Methods

Positive
Methods

Segment: Connected union of blocks

Corner: A segment formed by a cut vertex and one component of its complement.

Lemma

Let C be a finite graph for which there is a countable universal C -free graph. Let (v, S) be a corner of C and C' the result of pruning the corner. Then there is a countable universal C' -free graph. The same reduction applies if we require an oligomorphic automorphism group.

Application

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Subgraphs)

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The problem

Examples

Conjectures

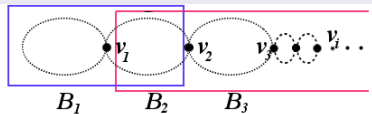
Exclusion
Methods

Positive
Methods

Lemma

Let C be a block path with ℓ blocks. Suppose that there is a countable universal C -free graph, but that some block is not complete; and let ℓ be minimal. Then (up to a reversal of the numbering) we have the following.

- B_i is complete for $1 < i < \ell$ and also for $i = \ell$ unless $B_1 \simeq B_\ell$;
- $\ell \geq 3$ (Füredi/Komjáth);



- L_2^- embeds into R_1^+ :

Application

Universal
Graphs
(with
Forbidden
Subgraphs)

Gregory
Cherlin

The problem

Examples

Conjectures

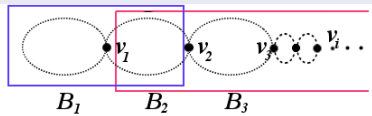
Exclusion
Methods

Positive
Methods

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- L_2^- embeds into R_1^+ :

(Prune the terminal segment R_2 .)

Symmetric Local Pruning

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Graphs
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Subgraphs)

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The problem

Examples

Conjectures

Exclusion
Methods

Positive
Methods

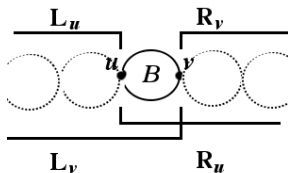
Lemma

Let C be a block path, B a block of C containing two cut vertices u, v , and let

$$L_u, R_u, L_v, R_v$$

be the corners rooted at u, v respectively, to the left and right according to some orientation.

If $L_v \setminus \{v\}$ embeds into $R_u \setminus \{u\}$, then (v, R_v) is **detachable**.



- 1 The problem
- 2 Examples
- 3 Conjectures
- 4 Exclusion Methods
- 5 Positive Methods**

C-algebraic closure

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(with
Forbidden
Subgraphs)

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Cherlin

The problem

Examples

Conjectures

Exclusion
Methods

Positive
Methods

Definition

A is C -algebraically closed in Γ if the free amalgam

$$\Gamma^\infty / A$$

is C -free

C-algebraic closure

Universal
Graphs
(with
Forbidden
Subgraphs)

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Cherlin

The problem

Examples

Conjectures

Exclusion
Methods

Positive
Methods

Definition

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Theorem (ChShSh)

The following are equivalent.

- *There is a countable universal C -free graph with oligomorphic automorphism group;*
- *Every finite subset of a C -free graph is contained in a finite C -algebraically closed subset.*

Minimal Bases

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Subgraphs)

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The problem

Examples

Conjectures

Exclusion
Methods

Positive
Methods

Setting: $X \subseteq A \subseteq B \subseteq \Gamma$ with Γ e.c. and C -free.

Definition

B is **free** over A if $\bigoplus_{i=1}^n (B \setminus A)$ embeds over A into Γ , all n .
 A is a **base** for B over X if A is minimal so that $X \subseteq A$ and B is free over A .

Example

$C = (K_3 \wedge K_3)$, $B = T$ a single triangle, $X = \{x\}$, $x \in T$.
Then T cannot be free over x .

If there is an $a \in T$ so that (a, x) is contained in infinitely many triangles, then T is free over (a, x) .

Otherwise, T is a base for T over x .

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Graphs
(with
Forbidden
Subgraphs)

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The problem

Examples

Conjectures

Exclusion
Methods

Positive
Methods

Lemma

Let $A \subseteq \Gamma$ with Γ C -free and algebraically closed, and A finite. Then the following are equivalent.

- *A is algebraically closed;*
- *For any $A \subseteq B \subseteq \Gamma$ with B embeddable in C , B is free over A .*

Algebraic Closure

Universal
Graphs
(with
Forbidden
Subgraphs)

Gregory
Cherlin

The problem

Examples

Conjectures

Exclusion
Methods

Positive
Methods

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Application to $(K_3 \wedge K_3)$ -free:

Any set X is contained in an algebraically closed set Y with $|Y| \leq 4|X|$ (in the worst case, Y is a union of K_4 's).

Algebraic Closure

Universal
Graphs
(with
Forbidden
Subgraphs)

Gregory
Cherlin

The problem

Examples

Conjectures

Exclusion
Methods

Positive
Methods

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Any set X is contained in an algebraically closed set Y with $|Y| \leq 4|X|$ (in the worst case, Y is a union of K_4 's).

For the general 2-bouquet (m, n) with $m \leq 5$: apply the Δ -system lemma to the copies of K_n involved; come down eventually to $n = 5$ and the heart is empty, which leads back to the case $(5, 5)$.

Candidates

Conjecture (At the moment)

If C contains no trivial blocks, and there is a countable universal C -free graph, then C is a block path with complete blocks and of one of the following types $(n_1, n_2, \dots, n_\ell)$.

- $3^{\ell-1} n, 3^{\ell-2} n 3, 3^{\ell-2} 44$; or
- One of the following forms:

ℓ	Types
5	$(n_1, 3, 3, 3, n_5)$
"	$(3, n_2, 3, 3, n_5); (n_1, 3, 3, 4, 4)$
4	$(n_1, 3, 3, n_4) (n_4 \geq n_1 + 2)$
"	$(3, n, 3, n); (4, 4, 4, n) (n > 4);$
"	$(3, 4, 4, n); (4, 4, 3, n); (3, 4, 3, n);$
3	$(n_1, 3, n_3), (n_1, 4, n_3)$
2	$(4, n);$ or $(5, n)$ with $n \geq 6$

Final Remarks

— In the *mixed case* there should be the **hairy ball** graphs: K_n plus one path at each vertex (existence of the universal object not proved!)

— If we forbid **induced graphs** then the existence of a countable universal graph is undecidable (Wang's dominos).

— Forbidding **substructures** reduces to forbidding graphs equipped with a partition of the vertices into two classes (Ch-Shi).

— We do not know what happens with **permutation patterns**, which is a very interesting case. Our theory does not apply there in its present form.