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Proposition

There is a function $f:\mathcal{P}(\mathbb{N})\setminus\{\emptyset\}\to\mathbb{N}$ such that

$f(A) \in A$ for $A \subseteq \mathbb{N}$, $A \neq \emptyset$

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Proposition

There is a function $f : \mathcal{P}(\mathbb{N}) \setminus \{\emptyset\} \to \mathbb{N}$ such that

$$f(A) \in A$$
 for $A \subseteq \mathbb{N}$, $A \neq \emptyset$

Proof.

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Proposition

There is a function $f : \mathcal{P}(\mathbb{N}) \setminus \{\emptyset\} \to \mathbb{N}$ such that

 $f(A) \in A$ for $A \subseteq \mathbb{N}$, $A \neq \emptyset$

Corollary

There is a function $f : \mathcal{P}(\mathbb{Q}) \setminus \{\emptyset\} \to \mathbb{Q}$ such that

 $f(A) \in A$ for $A \subseteq \mathbb{Q}$, $A \neq \emptyset$

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 $f(A) \in A$ for $A \subseteq \mathbb{N}$, $A \neq \emptyset$

Corollary

There is a function $f : \mathcal{P}(\mathbb{Q}) \setminus \{\emptyset\} \to \mathbb{Q}$ such that

 $f(A) \in A$ for $A \subseteq \mathbb{Q}$, $A \neq \emptyset$

Problem

Is there a function $f : \mathcal{P}(\mathbb{R}) \setminus \{\emptyset\} \to \mathbb{R}$ *such that*

 $f(A) \in A$ for $A \subseteq \mathbb{R}$, $A \neq \emptyset$?

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Axiom of Choice

 $\forall S \quad \exists f: \quad \mathcal{P}(S) \setminus \{\emptyset\} \to S$ $f(A) \in A \quad \text{all} \quad A \subseteq S, A \neq \emptyset$

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$$\forall S \quad \exists f : \quad \mathcal{P}(S) \setminus \{\emptyset\} \to S$$
$$f(A) \in A \quad \text{all} \quad A \subseteq S, A \neq \emptyset$$

EQUIVALENTLY

•
$$\forall (A_i)_{i \in I} \quad \exists (a_i)_{i \in I} \quad a_i \in A_i \qquad [\prod_i A_i \neq \emptyset];$$

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Axiom of Choice

 $\forall S \exists f: \mathcal{P}(S) \setminus \{\emptyset\} \rightarrow S$ $f(A) \in A$ all $A \subseteq S, A \neq \emptyset$

EQUIVALENTLY

- $\forall (A_i)_{i\in I} \quad \exists (a_i)_{i\in I} \quad a_i \in A_i \qquad [\prod_i A_i \neq \emptyset];$
- For all partitions $(A_i)_{i \in I}$ of A, there is a *cross-section* $X \subseteq A$: $|X \cap A_i| = 1$ all i.

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Useful and essential for infinite processes. Example: Bases in infinite dimensional vector spaces.

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- Useful and essential for infinite processes.
 Example: Bases in infinite dimensional vector spaces.
- 2 Nonconstructive.
 - Asserts existence only.
 - Sometimes, it is enough to know that something *exists*. Other times, we need to *find* it explicitly.

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 Example: Bases in infinite dimensional vector spaces.
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 - Sometimes, it is enough to know that something *exists.* Other times, we need to *find* it explicitly.
- Surprising ("paradoxical") consequences.

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Useful and essential for infinite processes.
 Example: Bases in infinite dimensional vector spaces.

Onconstructive.

Asserts existence only.

Sometimes, it is enough to know that something *exists.* Other times, we need to *find* it explicitly.

Surprising ("paradoxical") consequences.

Useful, but dangerous.

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 $A_r = r + \mathbb{Q} \subseteq \mathbb{R} \ (r \in \mathbb{R})$

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$$A_r = r + \mathbb{Q} \subseteq \mathbb{R} \ (r \in \mathbb{R})$$

Lemma

If A_r meets A_s then $A_r = A_s$. Thus the sets A_r partition \mathbb{R} .

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Lemma

If A_r meets A_s then $A_r = A_s$. Thus the sets A_r partition \mathbb{R} .

Proof.

The relation

$$x - y \in \mathbb{Q}$$

is an *equivalence relation* on \mathbb{R} . The sets A_r are the equivalence classes for this relation.

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$$A_r = r + \mathbb{Q} \subseteq \mathbb{R} \ (r \in \mathbb{R})$$

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If A_r meets A_s then $A_r = A_s$. Thus the sets A_r partition \mathbb{R} .

AC: There is a cross section *X* for this partition.



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$$A_r = r + \mathbb{Q} \subseteq \mathbb{R} \ (r \in \mathbb{R})$$

Lemma

If A_r meets A_s then $A_r = A_s$. Thus the sets A_r partition \mathbb{R} .

AC: There is a cross section X for this partition.



Can you find one?

 $X + q \ (q \in \mathbb{Q})$ should partition \mathbb{R}

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 $\ell:\mathcal{P}(\mathbb{R})\to\mathbb{R}$

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 $\ell:\mathcal{P}(\mathbb{R})\to\mathbb{R}$

• $0 \leq \ell(A) \leq \infty$.

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 $\ell:\mathcal{P}(\mathbb{R})\to\mathbb{R}$

 $0 \leq \ell(A) \leq \infty.$

$$2 \quad \ell[a,b] = b - a.$$

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 $\ell:\mathcal{P}(\mathbb{R})\to\mathbb{R}$

 $0 \le \ell(A) \le \infty.$

$$2 \ \ell[a,b] = b - a.$$

3 Countable additivity:
$$\ell(\bigcup_{i=0}^{\infty} A_i) = \sum_i \ell(A_i)$$

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Axioms for Length

- $\ell:\mathcal{P}(\mathbb{R}) \to \mathbb{R}$
 - $0 \leq \ell(A) \leq \infty$.
 - (a, b] = b a.
 - Sountable additivity: $\ell(\bigcup_{i=0}^{\infty} A_i) = \sum_i \ell(A_i)$
 - Translation invariance: $\ell(A + r) = \ell(A)$.

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Proposition (Vitali (1905))

There is no such notion of length.

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Axioms for Length

- $\ell:\mathcal{P}(\mathbb{R})
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 - $0 \leq \ell(A) \leq \infty$.
 - **2** $\ell[a, b] = b a.$
 - Sountable additivity: $\ell(\bigcup_{i=0}^{\infty} A_i) = \sum_i \ell(A_i)$
 - Translation invariance: $\ell(A + r) = \ell(A)$.

Let X be a cross section for the Vitali partition *contained in* [0, 1]. Claim: X cannot have a length.

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A Vitali cross section $X \subseteq [0, 1]$ cannot have a length.

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A Vitali cross section $X \subseteq [0, 1]$ cannot have a length.

(Properties of Length)

 $0 \le \ell(A) \le \infty.$

2 $\ell[a, b] = b - a.$

3 Countable additivity $\ell(\bigcup_i A_i) = \sum_i \ell(A_i)$

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$$\ell(\bigcup_i A_i) = \sum_i \ell(A_i)$$

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Proof.

● If ℓ(X) > 0:

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• Translation invariance $\ell(A + r) = \ell(A)$.

Proof.

• If $\ell(X) > 0$: $X + q \subseteq [0, 2]$ when $q \in \mathbb{Q} \cap [0, 1]$.

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A Vitali cross section $X \subseteq [0, 1]$ cannot have a length.

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- **2** $\ell[a, b] = b a.$
- Sountable additivity $\ell(\bigcup_i A_i) = \sum_i \ell(A_i)$

• Translation invariance $\ell(A + r) = \ell(A)$.

Proof.

• If $\ell(X) > 0$: $X + q \subseteq [0, 2]$ when $q \in \mathbb{Q} \cap [0, 1]$. $2 = \ell([0, 2]) \ge \ell(X) + \ell(X) + \ell(X) + \dots$

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A Vitali cross section $X \subseteq [0, 1]$ cannot have a length.

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 $0 \le \ell(A) \le \infty.$

- **2** $\ell[a, b] = b a.$
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• If
$$\ell(X) = 0$$
:

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Proof.

• If
$$\ell(X) = 0$$
:
[0,1] $\subseteq \bigcup_q (X+q)$

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2 $\ell[a, b] = b - a.$

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• Translation invariance $\ell(A + r) = \ell(A)$.

Proof.

• If
$$\ell(X) = 0$$
:
 $[0,1] \subseteq \bigcup_q (X+q)$
 $1 = \ell([0,1]) \le 0 + 0 + 0 + \dots$
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There is a good theory of length (Lebesgue measure). All axioms hold, but it is not defined for every set.

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There is a good theory of length (Lebesgue measure). All axioms hold, but it is not defined for every set.

 $\langle \text{philosophy} \rangle$

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There is a good theory of length (Lebesgue measure). All axioms hold, but it is not defined for every set.

(philosophy)

In practice, if a set of reals can be defined explicitly, it *does* have a well-defined length (Lebesgue measure).

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Conclusion: Vitali cross sections cannot be defined explicitly.

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Conclusion: Vitali cross sections cannot be defined explicitly.

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Infinite dimensional vector spaces.

Example: $F(\mathbb{R}, \mathbb{R})$ —all functions from \mathbb{R} to \mathbb{R} . Subspaces: continuous, differentiable, ...

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Infinite dimensional vector spaces.

Example: $F(\mathbb{R}, \mathbb{R})$ —all functions from \mathbb{R} to \mathbb{R} . Subspaces: continuous, differentiable, ...

What is "dimension"? What is a "basis"? Maximal linearly independent set.

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Infinite dimensional vector spaces.

Example: $F(\mathbb{R}, \mathbb{R})$ —all functions from \mathbb{R} to \mathbb{R} . Subspaces: continuous, differentiable, ...

What is "dimension"? What is a "basis"? Maximal linearly independent set. But do they exist? $f_1, f_2, ...$

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Example: $F(\mathbb{R}, \mathbb{R})$ —all functions from \mathbb{R} to \mathbb{R} . Subspaces: continuous, differentiable, ...

What is "dimension"? What is a "basis"? Maximal linearly independent set. But do they exist?

- How to continue?
- How to stop?

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Infinite dimensional vector spaces.

Example: $F(\mathbb{R}, \mathbb{R})$ —all functions from \mathbb{R} to \mathbb{R} . Subspaces: continuous, differentiable, ...

What is "dimension"? What is a "basis"? Maximal linearly independent set. But do they exist?

- How to continue?
- How to stop? (ordinals)

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 $\begin{array}{l} V \text{ a vector space} \\ \mathcal{I} = \{ X \subseteq V : X \text{ is linearly independent} \} \\ X \leq Y \iff X \subseteq Y \end{array}$

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References

$$\mathcal{I} = \{ X \subseteq V : X \text{ is linearly independent} \}$$
$$X \leq Y \iff X \subseteq Y$$

Definition

 A partially ordered set (p.o.s.) is a set *I* together with a relation ≤ on *I* which is *reflexive, antisymmetric, transitive.*

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$$\mathcal{I} = \{ X \subseteq V : X \text{ is linearly independent} \}$$
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- A partially ordered set (p.o.s.) is a set *I* together with a relation ≤ on *I* which is *reflexive, antisymmetric, transitive.*
 - A linearly ordered set is a p.o.s. whose order relation is total: any two elements are comparable.

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$$\mathcal{I} = \{ X \subseteq V : X \text{ is linearly independent} \} \\ X \leq Y \iff X \subseteq Y$$

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 - A chain in a p.o.s. is a linearly ordered subset.

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$$X \leq Y \iff X \subseteq Y$$

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- A partially ordered set (p.o.s.) is a set / together with a relation < on / which is *reflexive*, *antisymmetric*, *transitive*.
- A linearly ordered set is a p.o.s. whose order relation is total: any two elements are comparable.
- A chain in a p.o.s. is a linearly ordered subset.
- A subset X of a p.o.s. (I, ≤) is bounded above if there is an element a of I with

$$x \leq a$$
 (all $x \in X$)

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- A subset X of a p.o.s. (I, ≤) is bounded above if there is an element a of I with

$$x \leq a$$
 (all $x \in X$)

Example: Independent subsets of V, with \subseteq . An *increasing* sequence of independent subsets of \mathcal{I} would form a chain—and their union is an *upper bound* for the chain.

Maximal Elements of p.o.s.

The Axiom of Choice

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The Axiom

A hard choice to make

Zorn's Lemma

AC makes things simple

AC makes things complicated

Further Applications

References

Zorn's Lemma

Let (I, \leq) be a p.o.s. in which every chain has an upper bound. Then *I* has a maximal element.

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Theorem

The Axiom of Choice and Zorn's Lemma are equivalent.

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The Axiom of Choice and Zorn's Lemma are equivalent.

Applications

• Every vector space has a basis.

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Zorn's Lemma

Let (I, \leq) be a p.o.s. in which every chain has an upper bound. Then *I* has a maximal element.

Theorem

The Axiom of Choice and Zorn's Lemma are equivalent.

Applications

- Every vector space has a basis.
- Every commutative ring with 1 has a maximal ideal.



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Theorem

The Axiom of Choice and Zorn's Lemma are equivalent.

Proof.

 \Leftarrow : To build a cross section *X* for a partition $(A_i)_{i \in I}$, let Ξ be the p.o.s. consisting of sets *X* satisfying

$$|X \cap A_i| \leq 1$$
 (all $i \in I$)

ordered by inclusion.

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ordered by inclusion.

By Zorn's Lemma, there is a maximal $X \in \Xi$. It must be a cross section!

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Theorem

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Proof.

 \implies (sketch):

If a p.o.s. *I* has no maximal element, use *AC* to find a function $f: I \rightarrow I$ such that

$$f(x) > x$$
 for $x \in I$

Use f to build a *very long* increasing sequence (a chain)—which has no upper bound. (...).

Well-Ordering

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Further Applications

References

Definition

A linear ordering (L, \leq) is a well ordering, if every nonempty subset of *L* has a minimum.

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Definition

A linear ordering (L, \leq) is a well ordering, if every nonempty subset of *L* has a minimum.

Some well ordered subsets of \mathbb{R} :

 \mathbb{N} ; $\{1/n : n \in \mathbb{N}\}$, with the reverse ordering

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Some well ordered subsets of \mathbb{R} : \mathbb{N} ; {1/*n* : *n* $\in \mathbb{N}$ }, with the reverse ordering {1/*m* + 1/*n* : *m*, *n* $\in \mathbb{N}$ }, with the reverse ordering;
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```
Some not well-ordered subsets of \mathbb{R}: \mathbb{R}, \mathbb{Q}, [0, 1].
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Remark

 \mathbb{Q} can be well-ordered.

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Remark

 \mathbb{Q} can be well-ordered.

Problem

Can \mathbb{R} be well-ordered?

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Further Applications

References

Theorem (Zermelo)

Any set S can be well ordered.

In fact:

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The following are equivalent.

- The Axiom of Choice.
- Zorn's Lemma
- The Well Ordering Principle

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The Axiom of Choice is obviously true; the Well Ordering Principle is obviously false; and who can tell about Zorn's Lemma?—Jerry Bona

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Fréchet: An implication between two known truths is not a new result. Lebesgue: An implication between two false statements is of no interest.

From WO to AC

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Further Application

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Theorem

The following are equivalent.

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From WO to AC: $f(A) = \min(A)!$

From WO to AC

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Further Applications

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Theorem

The following are equivalent.

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- Zorn's Lemma
- The Well Ordering Principle

From *WO* to *AC*: $f(A) = \min(A)$! From Zorn to *WO*...

 $Zorn \implies WO$

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Further Applications

References

To show: S can be well ordered.

 $I = \{(X, \leq_X) : X \subseteq S, \leq \text{ is a well ordering of } X\}$

 $Zorn \implies WO$

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Further Applications

References

To show: *S* can be well ordered.

 $I = \{(X, \leq_X) : X \subseteq S, \leq \text{ is a well ordering of } X\}$ How do we compare (X, \leq_X) and (Y, \leq_Y) ?

 $Zorn \implies WO$

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First try: $X \subseteq Y$ and \leq_Y agrees with \leq_X on X.

 $7 \text{orn} \implies WO$

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First try: $X \subseteq Y$ and \leq_Y agrees with \leq_X on X. Does not work.

The sets $X_n = \{-n, -n + 1, ..., 0\}$ with the usual ordering are well ordered (finite!) and form a chain. Their union gives the usual ordering of $-\mathbb{N}$. Not a WO.



 $7 \text{orn} \implies WO$

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First try: $X \subseteq Y$ and \leq_Y agrees with \leq_X on X. The sets $X_n = \{-n, -n+1, \dots, 0\}$ with the usual ordering are well ordered (finite!) and form a chain. Their union gives the usual ordering of $-\mathbb{N}$. Not a WO.

Second try: $X \subseteq Y$; \leq_Y agrees with \leq_X on X; and $Y \setminus X > X$.





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2 A hard choice

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Further Applications

References

Functional equation:
$$f(x + y) = f(x) + f(y)$$
.—Solve for f.

Theorem

Any function $f : \mathbb{R} \to \mathbb{R}$ which satisfies

$$f(x + y) = f(x) + f(y)$$
 all $x, y \in \mathbb{R}$

is either of the form f(x) = mx or is discontinuous everywhere.

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Example

Fix a basis X for \mathbb{R} as a vector space over \mathbb{Q} with $1 \in X$. For $r \in \mathbb{R}$, let f(r) be the coefficient of 1 in the expansion of r with respect to the basis X.

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These functions *exist*, but none has ever been *constructed*.

Something for Nothing

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Theorem (Hausdorf, Banach, Tarski)

A sphere of radius 1 may be decomposed into finitely many pieces which can be reassembled to make two spheres of radius 1.

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A sphere of radius 1 may be decomposed into finitely many pieces which can be reassembled to make two spheres of radius 1.

(Some vague idea ... :)

A variation on the Vitali decomposition, using rotations instead of translations!

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(Some vague idea ... :)

A variation on the Vitali decomposition, using rotations instead of translations!

Less vague:

Stan Wagon, *The Banach-Tarski Paradox*, Cambridge University Press, 1985 (paperback, 1993). ISBN 0-521-45704-1 (paperback).

Length, Area, Volume

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Further Applications

References

Measures on subsets of \mathbb{R}^n : countably additive, invariant under translation and rotation, normalized on the unit cube. What about: finitely additive?

Theorem

- There is no finitely additive invariant measure on \mathbb{R}^3 .
- There is a finitely additive invariant measure on ℝ¹ and on ℝ².

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Proof.

For n = 3: Banach-Tarski.

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Proof.

For n = 3: Banach-Tarski. For n = 1, 2 see Wagon. This also uses <u>AC</u>!

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• A countable union of countable sets is countable. (Needs *AC*!!?!)

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Further Applications

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- A countable union of countable sets is countable. (Needs *AC*!!?!)
- If G is a group, A ≤ G an abelian subgroup, then there is a subgroup B with

 $A \leq B \leq G$

and $C_G(B) = B$. (Exercise)

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If *h*: (*A*, +) → (ℝ, +) is a homomorphism, and *A* ≤ *B* abelian, then *h* extends to *h*' : *B* → ℝ.

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- If *h*: (*A*, +) → (ℝ, +) is a homomorphism, and *A* ≤ *B* abelian, then *h* extends to *h*': *B* → ℝ.
- R a commutative ring with 1. Suppose every prime ideal of R is f.g. Then every ideal of R is f.g. (Tricky)

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Lemma

Let \mathcal{I} be the set of ideals in R which are not f.g. If \mathcal{I} is nonempty, it contains a maximal element.

Famous Applications

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References

Hahn-Banach: Extension of (bounded) linear functions from subspaces.

Tychonoff: A product of compact topological spaces is compact.

Major Contributors, References

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Ernst Zermelo, Adolf Fraenkel: axioms for set theory (1908, 1910).

Felix Hausdorff, Stefan Banach, Alfred Tarski: Paradoxical decompositions (1914,1924).

Kazimierz Kuratowski, Max Zorn: Zorn's Lemma (1922,1935).

Paul Cohen: *AC* is not derivable from *ZF* (1963). (Cf. AMS Notices August 2010.)

Hugh Woodin: Under appropriate set theoretic hypotheses, all definable sets of reals are Lebesgue measurable (1984).
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Kenneth Ross, Informal Introduction to Set Theory, 22 pages, http://math.uoregon.edu/people/ross/SetTheory.pdf

To choose one sock from each of infinitely many pairs of socks requires the Axiom of Choice, but for shoes the Axiom is not needed.—Bertrand Russell.