

## Roger Nussbaum

### List of Mathematical Publications

#### Journals:

1. The topological degree for noncompact nonlinear mappings in Banach spaces (Felix E. Browder and Roger D. Nussbaum), Bull. of the AMS, **74** (1968), 671-676.
2. The fixed point index and asymptotic fixed point theorems for  $k$  – set-contractions, (Roger D. Nussbaum), Bull of the AMS, **75** (1969), 490-495.
3. Spectral mapping theorems and perturbation theorems for Browder’s essential spectrum (Roger D. Nussbaum), Trans. of the AMS, **150** (1970), 445-455.
4. A generalization of the Ascoli theorem and an application to functional differential equations (Roger D. Nussbaum), Jour. of Math. Analysis and Appl., **35** (1971), 600-611.
5. The radius of the essential spectrum (Roger D. Nussbaum), Duke Jour. of Math., **38** (1970), 473-478.
6. Degree theory for local condensing maps (Roger D. Nussbaum), Jour. of Math. Analysis and Appl., **37** (1972), 741-766.
7. The fixed point index for local condensing maps (Roger D. Nussbaum), Annali di Matematica, **89** (1971), 217-258.
8. Asymptotic fixed point theorems for local condensing maps (Roger D. Nussbaum), Mathematische Annalen, **191** (1971), 181-195.
9. Estimates for the number of solutions of operator equations (Roger D. Nussbaum), Applicable Analysis, **1** (1971), 183-200.
10. A geometric approach to the fixed point index (Roger D. Nussbaum), Pac. Jour. of Math., **39** (1971), 751-766.
11. Some fixed point theorems (Roger D. Nussbaum), Bull. of the AMS, **77**, #3 (1971), 360-365.
12. The ball intersection property for Banach spaces (R. D. Nussbaum), Bull of the Polish Acad. of Sci., **19**, #10 (1971), 931-936.
13. Existence and uniqueness theorems for some functional differential equations of neutral type (Roger D. Nussbaum), Jour. of Diff. Equations, **2** (1972), 607-623.
14. Some asymptotic fixed point theorems (Roger D. Nussbaum), Trans.AMS, **171** (1972), 349-375.
15. Periodic solutions of some nonlinear autonomous functional differential equations (Roger D. Nussbaum), Annali di Matematica, **CI** (1974), 263-306.
16. Periodic solutions of analytic functional differential equations are analytic (Roger D. Nussbaum), Mich. Math. Jour. **20** (1973), 249-255.

17. Periodic solutions of autonomous functional differential equations (Roger D. Nussbaum), *Bull. of the AMS*, **79** (1973), 811-814.
18. Periodic solutions of some nonlinear autonomous functional differential equations, II, *J. Diff. Equations*, **14** (1973), 360-394.
19. Positive solutions of nonlinear elliptic boundary value problems (Roger D. Nussbaum), *J. Math. Anal. & Appl.*, **51** (1975), 461-483.
20. A global bifurcation theorem with applications to functional differential equations (Roger D. Nussbaum), *J. Funct. Anal.*, **19** (1975), 319-339.
21. On the uniqueness of the topological degree for  $k$  - set contractions (Roger D. Nussbaum), *Math. Zeit.*, **137** (1974), 1-6.
22. Global bifurcation of periodic solutions of some autonomous functional differential equations (Roger D. Nussbaum), *J. Math. Anal. Appl.*, **55** (1976), 699-725.
23. A singular bifurcation problem (Roger D. Nussbaum and Charles.A.Stuart), *J.London Math. Soc.*, **14** (1976), 31-38.
24. The range of periods of periodic solutions of  $x'(t) = \alpha f(x(t-1))$  (Roger D. Nussbaum), *J. Math. Anal. & Appl.*, **58** (1977), 280-292.
25. Some generalizations of the Borsuk-Ulam theorem (Roger D. Nussbaum), *Proc. of London Math. Soc.*, **35** (1977), 136-158.
26. A periodicity threshold theorem for some nonlinear integral equations (Roger D. Nussbaum), *SIAM Jour. Math. Anal.*, **9** (1978), 356-376.
27. A Hopf global bifurcation theorem for retarded functional differential equations (Roger D. Nussbaum), *Trans. AMS*, **238** (1978), 139-164.
28. Generalizing the fixed point index (Roger D. Nussbaum), *Math. Ann.*, **228** (1977), 259-278.
29. Periodic solutions of special differential-delay equations: an example in nonlinear functional analysis (Roger D. Nussbaum), *Proc. Royal Soc. Edinburgh*, **81** (1978), 131-151.
30. Differential delay equations with two time lags (Roger D. Nussbaum), *Memoirs of AMS*, No. **205** (September, 1978).
31. Uniqueness and nonuniqueness for periodic solutions of  $x'(t) = g(x(t-1))$  (Roger D. Nussbaum), *Jour. Diff. Equations*, **34** (1979), 25-54.
32. A quadratic integral equations (Roger D. Nussbaum), *Annali Scuola Norm. Sup. — Pisa*, **7** (1980), 375-480.
33. A quadratic integral equation, II (Roger D. Nussbaum), *Indiana Univ. Math. Jour.*, **30** (1981), 871-905.
34. A nonlinear integral equation (Nancy Baxter and Roger D. Nussbaum), *Nonlinear Analysis, Theory, Methods & Appl.*, **5** (1981), 1285-1307.
35. Degree theory (Roger D. Nussbaum), by N.G.Lloyd; *Bull. AMS*, July, 1979, 632-636.

36. A priori estimates for positive solutions of semilinear elliptic equations (with P.L.Lions & D.DeFigueiredo, Roger D. Nussbaum), *Journal de Mathematiques Pures et Appliquee*, **61** (1982), 41-63.
37. Asymptotic analysis of functional differential equations and solutions of long period, *Archive of Rational Mech. & Anal.* (Roger D. Nussbaum), **81** (1983), 373-397.
38. Cyclic differential equations and period three solutions of differential-delay equations (R. D. Nussbaum and A.J.B.Potter), *Jour. of Diff. Equations*, **46** (1982), 379-408.
39. Special and spurious solutions of  $x'(t) = -\alpha f(x(t-1))$  (with H.-O. Peitgen) (Roger D. Nussbaum), *Memoirs of the AMS*, **310**, September, 1984.
40. Quasinormal cones in Banach spaces (with E. Norman Dancer & C.Stuart, R. Nussbaum), *Nonlinear Analysis, Theory, Method & Appl.*, **7** (1983), 539-553.
41. A folk theorem in the spectral theory of  $C_0$ -semigroups (Roger D. Nussbaum), *Pac. Jour. of Math.*, **113**, (1984), 433-449.
42. Positive operators and elliptic eigenvalue problems (Roger D. Nussbaum), *Mathematische Zeitschrift*, **186** (1984), 247-264.
43. Global continuation and asymptotic behavior for periodic solutions of a differential-delay equation (R. Nussbaum and J.Mallet-Paret), *Annali di Matematica Pura ed Applicata*, **145** (1986), 33-128.
44. Uniqueness and nonuniqueness for positive radial solutions of  $\Delta u + f(u, r) = 0$  (Roger D. Nussbaum and Wei-Ming Ni), *Comm. on Pure & Appl. Math.*, **38** (1985), 67-108.
45. Asymptotic estimates for the periods of periodic solutions of a differential-delay equation (Steven Chapin and Roger D. Nussbaum), *Mich. Math. Journal*, **31** (1984), 215-229.
46. Some remarks on a conjecture in parameter adaptive control (Roger D.Nussbaum), *Systems & Control Letters*, **3** (1983), 243-246.
47. Circulant matrices and differential-delay equations (Roger D. Nussbaum), *J. of Diff. Equations*, **60** (1985), 201-217.
48. Convexity and log convexity for the spectral radius (Roger D. Nussbaum), *Linear Algebra and Applications*, **73** (1986), 59-122.
49. The Fixed Point Index and Some Applications (Roger D. Nussbaum), *Lecture Notes, Seminaire de Mathematiques Superieures at the Univ. of Montreal* (1983), *Les Presses de l'Universite de Montreal*, **94** (1985), 1-145.
50. Arithmetic-geometric means of positive matrices (Joel Cohen and Roger D. Nussbaum), *Math. Proc. Cambridge Phil. Soc.*, **101** (1987), 209-219.
51. Iterated nonlinear maps and Hilbert's projective metric (Roger D. Nussbaum), *Memoirs of the AMS*, **391**, August, 1988.
52. Wright's equation has no solutions of period four (Roger D. Nussbaum), *Proceedings of the Royal Society of Edinburgh*, **113A** (1989), 281-288.

53. A differential-delay equation arising in optics and physiology (Roger D. Nussbaum and J. Mallet-Paret), *SIAM J. Math. Analysis*, **20** (1989), 249-292.
54. The arithmetic-geometric mean and its generalizations for noncommuting linear operators (J. Cohen and R. Nussbaum), *Annali della Scuola Normale Sup. di Pisa*, **15** (1989), 239-308.
55. Iterated nonlinear maps and Hilbert's projective metric, II (Roger D. Nussbaum), *Memoirs of the AMS*, **401**, May, 1989.
56. Some nonlinear weak ergodic theorems (Roger D. Nussbaum), in *SIAM J. Math. Analysis*, **21** (1990), 436-460.
57. Multiple transition layers in a singularly perturbed differential-delay equation (Roger D. Nussbaum and John Mallet-Paret), *Proc. Royal Society Edinburgh*, **123A** (1993), 1119-1134.
58. Omega limit sets of nonexpansive maps: finiteness and cardinality estimates (Roger D. Nussbaum), *Differential and Integral Equations*, **3** (1990), 523-540.
59. Some remarks on differential equations of quadratic type (Shiro Ishikawa and Roger D. Nussbaum), in *Journal of Dynamics and Differential Equations*, **3** (1991), 457-490.
60. Convexity properties of generalizations of the arithmetic-geometric mean (Joel E. Cohen and Roger D. Nussbaum), *Numer. Funct. Anal. and Optimiz.*, **11** (1990), 33-44
61. Convergence of iterates of a nonlinear operator arising in statistical mechanics (Roger D. Nussbaum), *Nonlinearity*, **4** (1991), 1223-1240.
62. Estimates of the periods of periodic points for nonexpansive operators (Roger D. Nussbaum), *Israel J. of Mathematics*, **76** (1991), 345-370.
63. On variational principles for the generalized principal eigenvalue of second order elliptic operators and their applications (Roger D. Nussbaum and Yehuda Pinchover), *Journal D'Analyse Mathematique*, **59** (1992), 161-177.
64. Entropy minimization, DAD problems and doubly stochastic kernels (J.M. Borwein and A.S. Lewis, R. D. Nussbaum), *Journal of Functional Analysis*, **123** (1994) 264-307.
65. Boundary layer phenomena for differential-delay equations with state dependent time lags, I (Roger D. Nussbaum and John Mallet-Paret), *Archive Rational Mechanics and Analysis*, **120** (1992), 99-146.
66. Lattice isomorphisms and iterates of nonexpansive maps (Roger D. Nussbaum), *Nonlinear Analysis, T.M. and A.*, **22** (1994) 945-970.
67. Entropy minimization, Hilbert's projective metric, and scaling integral kernels, *Journal of Functional Analysis*, **115** (1993), 45-100.
68. A limit set trichotomy for self-mappings of normal cones in Banach spaces (Roger D. Nussbaum and Ulrich Krause), *Nonlinear Analysis, T.M.A.*, **20** (1993), 855-870.

69. Boundary layer phenomena for differential-delay equations with state dependent time lags: II (John Mallet-Paret and Roger D. Nussbaum), *Journal für die Reine und Angewandte Math.*, **477** (1996), 129-197.
70. An elementary proof of the Birkhoff-Hopf theorem (Simon P. Eveson and Roger D. Nussbaum), *Math. Proc. Cambridge Phil. Society*, **117** (1995), 31-55.
71. Applications of the Birkhoff-Hopf theorem to the spectral theory of positive linear operators, (Simon P. Eveson and Roger D. Nussbaum), *Math. Proc. Cambridge Phil. Society*, **117** (1995), 491-512.
72. Periodic solutions for functional differential equations with multiple state dependent time lags (J. Mallet-Paret, R.D. Nussbaum and P. Paraskevopoulos), *Topological Methods in Nonlinear Analysis*, **3** (1994), 101-162.
73. Finsler structures for the part metric and Hilbert's projective metric and applications to ordinary differential equations (Roger D. Nussbaum), *Differential and Integral Equations*, **7** (1994), 1649-1707.
74. Approximation by polynomials with nonnegative coefficients and the spectral theory of positive operators (Roger D. Nussbaum and Bertram Walsh), *Transactions of the American Math. Society*, **350** (1998), 2367-2391.
75. Admissible arrays and periodic points of nonexpansive maps (Roger D. Nussbaum and Michael Scheutzow), *Journal of the London Math. Soc.*, **58** (1998), 526-544.
76. Eigenvectors of order-preserving linear operators (Roger D. Nussbaum), *Journal of the London Math. Soc.*, **58** (1998), 480-496.
77. Generalizations of the Perron-Frobenius theorem for nonlinear maps (Roger D. Nussbaum and Sjoerd Verduyn Lunel), *Memoirs of the Amer. Math. Soc.*, **138**, #659, March, 1999.
78. Periodic points of nonexpansive maps and nonlinear generalizations of the Perron-Frobenius theory (Roger D. Nussbaum, Michael Scheutzow and Sjoerd Verduyn Lunel), *Selecta Mathematica*, New Series, **4** (1998), 141-181.
79. Nonexpansive periodic operators in  $\ell_1$  and super-high-frequency oscillations in a discontinuous dynamical system with time delay (Roger D. Nussbaum and E. Shustin), *J. of Dynamics and Differential Equations*, **13** (2001), 381-424.
80. Periodic solutions for a nonlinear convolution equation, (Roger D. Nussbaum and A. Asselah), *J. of Integral Equations and Operator Theory*, **42** (2002), 385-424.
81. Boundary layer phenomena for differential-delay equations with state-dependent time lags: III, (Roger D. Nussbaum and J. Mallet-Paret), *J. of Differential Equations*, **189** (2003), 640-692.
82. Periodic points of positive linear operators and Perron-Frobenius operators, (Roger D. Nussbaum), *J. of Integral Equations and Operator Theory*, **39** (2001), 41-97.
83. Dynamics of a quadratic map in two complex variables, (Roger D. Nussbaum and

- Stephen Greenfield), *J. of Differential Equations*, **169** (2001), 57-141.
84. Lower and upper bounds for  $\omega$ -limit sets of nonexpansive maps (with B. Lemmens and S. Verduyn Lunel), *Indagationes*, **12** (2001), 191-211.
  85. Eigenvalues for a class of homogeneous cone maps arising from max-plus operators, (John Mallet-Paret and Roger D. Nussbaum), *Discrete and Continuous Dynamical Systems*, **8** (2002), 519-563.
  86. Extension of order-preserving maps on a cone (Andrew Burbanks, Roger Nussbaum and Colin Sparrow), *Proc. Royal Society of Edinburgh*, **133A** (2003), 35-59.
  87. Spectral theory for some Perron-Frobenius operators in  $L^p$  spaces, in preparation.
  88. Dynamics of a quadratic Fibonacci map, II, (Stephen Greenfield and Roger Nussbaum), in preparation.
  89. A basis theorem for a class of max-plus equations, (John Mallet-Paret and Roger D. Nussbaum), *J. of Differential Equations*, **189** (2003), 616-639.
  90. Asymptotic estimates for the periods of periodic points of nonexpansive maps (Sjoerd Verduyn Lunel and Roger Nussbaum), *Ergodic Theory and Dynamical Systems*, **23** (2003), 1199-1226.
  91. Functional Differential Equations, Chapter 10 in *Handbook of Dynamical Systems*, Vol. II, 461-499, (edited by B. Fiedler, Elsevier Science B.V., Amsterdam, 2002).
  92. A metric inequality for the Thompson and Hilbert geometries (Roger Nussbaum and Cormac Walsh), *Inequalities in Pure and Applied Math.*, **5**, Issue 3 (2004).
  93. Uniqueness of the fixed point of nonexpansive semi-differentiable maps (Marianne Akian, Stephane Gaubert and Roger D. Nussbaum), to appear in *Trans. Amer. Math. Soc.*, (in 2014), available at arXiv:1201.1536v2.
  94. Iteration of order-preserving, subhomogeneous maps on a cone (M. Akian, S. Gaubert, B. Lemmens and R. Nussbaum), *Math. Proc., Cambridge, Phil. Soc.*, **140** (2006), 157-176.
  95. Global stability of fixed points, Two conjectures and Maple (R. Nussbaum), *Nonlinear Analysis, T.M. and A.*, **66** (2007), 1064-1090.
  96. Iterated linear maps on a cone and Denjoy-Wolff theorems (Brian Lins and Roger Nussbaum), *Linear Algebra and its Applications*, **416** (2006), 615-626.
  97. Fixed point theorems and Denjoy-Wolff theorems for Hilbert's projective metric in infinite dimensions (R. Nussbaum), *Topological Methods in Nonlinear Analysis*, **29** (2007), 199-249.
  98. Denjoy-Wolff theorems, Hilbert metric nonexpansive maps and reproduction-decimation operators, (Brian Lins and Roger Nussbaum), *J. Functional Analysis*, **254** (2008), 2365-2386.
  99. Inequivalent measures of noncompactness and the radius of the essential spectrum (John Mallet-Paret and Roger Nussbaum), *Proc. Amer. Math. Soc.*, **139** (2011),

- 917-930.
100. Asymptotic fixed point theory and the beer barrel theorem, (John Mallet-Paret and Roger Nussbaum), *Journal of Fixed Point Theory and Applications*, **4** (2008), 203-245.
  101. Inequivalent measures of noncompactness, (John Mallet-Paret and Roger Nussbaum), *Annali di Matematica Pura ed Applicata*, **190** (2011), 453-488.
  102. Generalizing the Krein-Rutman theorem, measures of noncompactness and the fixed point index, (John Mallet-Paret and Roger Nussbaum), *Journal of Fixed Point Theory and Applications*, **7** (2010), 103-143.
  103. Tensor products, positive linear operators and delay-differential equations, (John Mallet-Paret and Roger Nussbaum), *J. Dynamics and Diff. Equations*, **25** (2013); pgs. 843-905; also available at arXiv:1210.0919v1.
  104. Super-stability and rigorous asymptotics in singularly perturbed, state-dependent delay-differential equations, (John Mallet-Paret and Roger Nussbaum), *Journal of Differential Equations*, **250** (2011), 4037-4084.
  105. Periodic solutions of differential-delay equations, limiting profiles and Airy functions, (John Mallet-Paret and Roger Nussbaum), in preparation.
  106. Positive operators and Hausdorff dimension of invariant sets, (R.D. Nussbaum, A. Priyadarshi and S. Verduyn Lunel), *Trans. Amer. Math. Soc.*, **364** (2012), 1029-1066.
  107. Stability of periodic solutions of state-dependent delay-differential equations, (John Mallet-Paret and Roger Nussbaum), *Journal of Differential Equations*, **250** (2011), 4085-4103.
  108. Nonlinear Perron-Frobenius Theory (a book), (Bas Lemmens and Roger Nussbaum), *Cambridge University Press, Cambridge Tracts in Math*, **189** (2012).
  109. Continuity of the Cone Spectral Radius, (Bas Lemmens and Roger Nussbaum), *Proc. Amer. Math. Soc.*, **141** (2013), 2741-2754; also available at arXiv:1107.4532.
  110. The Collatz-Wielandt Characterization of the Spectral Radius of Order-preserving Homogenous Maps on Cones, (Marianne Akian, Stephane Gaubert and Roger D. Nussbaum), submitted for publication, 2014, available at arXiv:1112.5968v1.
  111. Birkhoff's Version of Hilbert's Metric and its Applications in Analysis, (Bas Lemmens and Roger D. Nussbaum), to appear in *Handbook of Hilbert Geometry*, (2013), edited by G. Besson, A. Papadopoulos and M. Troyanov, European Math. Society Publishing House, Zurich, available at arXiv:1305.0579v2.
  112. Analyticity and Nonanalyticity of Solutions of Differential-Delay Equations, (John Mallet-Paret and Roger D. Nussbaum), *SIAM J. Math Analysis*, **46**, No.4, (2014), 2468-2500, available at arXiv:1305.0579v2.
  113. Analytic Solutions of Delay-Differential Equations, (John Mallet-Paret and Roger D. Nussbaum), in preparation.

114. Asymptotic Homogeneity and a Question of Analyticity, (John Mallet-Paret and Roger D. Nussbaum), in preparation.

### Conference Proceedings:

1. Bifurcation theory and periodic solutions of some autonomous functional differential equations (Roger D. Nussbaum), Proc. Brown Univ. Conf. on Dynamical Systems, Vol. II (Academic Press) (1976), 99-102. (refereed).
2. Periodic solutions of some nonlinear integral equations, in *Dynamical Systems, Proc. of a Univ. of Florida Int. Symp.*, Ed. by A. Bednarek & L. Cesari, R. Nussbaum, Academic Press, NY (1977), 221-251. (refereed).
3. Periodic solutions of some integral equations from the theory of epidemics, *Nonlinear Systems & Appl., An International Conf.* ed. by V. Lakshmikantham, R. Nussbaum Academic Press, NY (1977), 235-257. (refereed).
4. Eigenvectors of nonlinear positive operators and the linear Krein-Rutman theorem, *Fixed Point Theory* (Roger D. Nussbaum), Springer-Verlag Lecture Notes in Math., **886**, 309-330. (refereed).
5. Periodic solutions of nonlinear autonomous functional differential equations (Roger D. Nussbaum), *Functional Differential Equations & Approximation of Fixed Points*, Springer Verlag Lecture Notes in Math., **730**, 283-325. (refereed).
6. Asymptotic analysis of some functional differential equations (Roger D. Nussbaum), *Dynamical Systems II, An International Symposium*; edited by A. Bednarek & L. Cesari, Academic Press, NY, 1982, 41-63. (refereed).
7. Global continuation and complicated trajectories for periodic solutions of a differential-delay equation ( R. Nussbaum and J. Mallet-Paret), *AMS Proceedings of Symposia in Pure Mathematics*, **45** (1986), 155-167. (refereed).
8. A bifurcation gap for a singularly perturbed delay equation (R. D. Nussbaum and J. Mallet-Paret), in *Chaotic Dynamics and Fractals*, **2**, ed. by M.F. Barnsley and S.G. Demko, Academic Press, NY, 1986. (refereed).
9. Boundary layer phenomena for a differential-delay equation (Roger D. Nussbaum), in *Oscillation, Bifurcation and Chaos*, Canadian Math. Society Conference Proceedings, **8** (1986), ed. by Atkinson, Langford and Mingarelli, 579-599. (refereed).
10. Iterated nonlinear maps and Hilbert's projective metric: a summary (Roger D. Nussbaum), in *Dynamics of Infinite Dimensional Systems*, edited by Shui-Nee Chow and Jack Hale, Springer-Verlag, New York, 1987, 231-249. (refereed).
11. Some remarks on operator-valued means, in *Nonlinear Functional Analysis* (Roger D. Nussbaum), Lecture Notes in Pure and Applied Mathematics, Inc., **121**, edited by P. S. Milojevic, Marcel Dekker, Inc., New York, 1990, 227-251. (refereed).
12. On transitive and commutative finite groups of isometries (Richard N. Lyons and



- Roger D. Nussbaum), *Fixed Point Theory and Applications*, World Scientific, Singapore, New Jersey, London, Hong Kong, 1992, 189-228. (refereed).
13. Periodic points of nonexpansive operators (Roger Nussbaum), in *Optimization and Nonlinear Analysis*, edited by A. Ioffe, M. Marcus and S. Reich, Pitman Research Notes in Math. **244**, 1992, 214-227. (refereed).
  14. The fixed point index and fixed point theorems, (R.D. Nussbaum), *Topological Methods for Ordinary Differential Equations*, edited by M. Furi and P. Zecca, Springer Verlag Lecture Notes in Mathematics, **1537** (1993), 143-205. (refereed).
  15. A nonlinear generalization of Perron-Frobenius theory and periodic points of nonexpansive maps, *Contemporary Mathematics*, **204**, 1997, 187-198.
  16. Periodic points of nonexpansive maps (Roger D. Nussbaum), Idempotency, edited by Jeremy Gunawardena, Publications of the Newton Institute, Cambridge Univ. Press, 1998, 231-242.

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