

## Binomial coefficients and Littlewood-Richardson coefficients for interpolation polynomials and Macdonald polynomials

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*Dedicated to Gregg Zuckerman on his 60th birthday.*

ABSTRACT. We establish a precise relationship between binomial coefficients and Littlewood-Richardson coefficients for interpolation polynomials and Macdonald polynomials, and obtain explicit formulas for both kinds of coefficients.

### Introduction

Let  $\mathbb{F} = \mathbb{Q}(q, t)$  denote the field of rational functions in  $q, t$ . In ([18], [6], [19], [4]) the author and F. Knop introduced two inhomogeneous polynomial bases

$$(0.1) \quad \{G_\eta : \eta \in \mathcal{C}_n\} \subset \mathbb{F}[x_1, \dots, x_n], \quad \{R_\lambda : \lambda \in \mathcal{P}_n\} \subset \mathbb{F}[x_1, \dots, x_n]^{S_n}$$

whose index sets are, respectively, compositions and partitions of length  $n$ :

$$\mathcal{C}_n := \{\eta = (\eta_1, \dots, \eta_n) : \eta_i \in \mathbb{Z}_{\geq 0}\}, \quad \mathcal{P}_n := \{\lambda \in \mathcal{C}_n : \lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_n\}.$$

$R_\lambda$  and  $G_\eta$  are called interpolation polynomials and, as shown in ([19], [4]), their top degree terms are, respectively, the symmetric and nonsymmetric Macdonald polynomials of type  $A$  ([12], [17, 3, 11]).

In this paper we prove several new results about  $R_\lambda$  and  $G_\eta$ . We first introduce common notation to avoid having to state the results twice. Thus we write

$$\{h_v(x) : v \in L\} \subset \mathcal{R}$$

to denote *either* of the two situations in (0.1).

The index set  $L$  admits a partial order  $\supseteq$ , which, together with the “rank” function  $|v| = v_1 + \dots + v_n$ , makes  $L$  into a graded poset ([2]). Furthermore there is a certain map  $u \mapsto \bar{u} : L \rightarrow \mathbb{F}^n$  such that  $h_v$  is characterized as the unique polynomial in  $\mathcal{R}$  of degree  $|v|$  satisfying

$$(0.2) \quad h_v(\bar{v}) = 1; \text{ and } h_v(\bar{u}) = 0 \text{ unless } u \supseteq v.$$

We refer the reader to sections 0.3 and 1.1 for precise definitions of  $\supseteq$  and  $u \mapsto \bar{u}$  in the symmetric and non-symmetric cases, respectively.

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**0.1. Binomial coefficients.** Our first result is a formula for the special values  $h_v(\bar{u})$ , which are called *binomial coefficients* in [15, 21]; we define

$$(0.3) \quad b_{uv} := h_v(\bar{u})$$

We denote by  $:\supset$  the covering relation of  $\supseteq$ ; thus we have

$$u : \supset v \text{ iff } u \supseteq v \text{ and } |u| = |v| + 1$$

The  $b_{uv}$  are *explicitly* known if  $u : \supset v$  (see [1, 15] and formulas (0.15),(0.18) below); to emphasize this fact we write

$$a_{uv} = \begin{cases} b_{uv} & \text{if } u : \supset v \\ 0 & \text{else} \end{cases} .$$

Consider the  $L \times L$  matrices  $A = (a_{uv})$ ,  $B = (b_{uv})$ , and the diagonal matrix  $Z = (|\bar{u}| \delta_{uv})$ , where we define for any  $n$ -tuple, e.g. for  $y \in \mathbb{F}^n$

$$|y| := y_1 + \dots + y_n$$

By (0.2), (0.3)  $B$  is unitriangular and hence invertible. We denote its inverse by

$$B^{-1} = (b'_{uv})$$

THEOREM 0.1. .

(1) *The following recursions characterize  $b_{uv}$  and  $b'_{uv}$ :*

$$(0.4) \quad \text{(i) } b_{uu} = 1, \text{ (ii) } (|\bar{u}| - |\bar{v}|)b_{uv} = \sum_{w:\supset v} b_{uw} (|\bar{w}| - |\bar{v}|)a_{wv}.$$

$$(0.5) \quad \text{(i) } b'_{uu} = 1, \text{ (ii) } (|\bar{u}| - |\bar{v}|)b'_{uv} = \sum_{w\subset u} a_{uw} (|\bar{w}| - |\bar{u}|)b'_{wv}.$$

(2) *The matrices  $A, B, Z$  satisfy the commutation relations*

$$(0.6) \quad \text{(i) } [Z, B] = B[Z, A], \text{ (ii) } [Z, B^{-1}] = -[Z, A]B^{-1}$$

(3) *Let  $\mathfrak{C}_{uv} := \{\mathbf{w} = (w_0, w_1, \dots, w_k) \mid w_0 = u, w_k = v, w_i : \supset w_{i+1}\}$ ; then*

$$(0.7) \quad b_{uv} = \sum_{\mathbf{w} \in \mathfrak{C}_{uv}} wt(\mathbf{w}) \text{ with } wt(\mathbf{w}) = \prod_{i=0}^{k-1} \left[ \frac{|\bar{w}_i| - |\bar{w}_{i+1}|}{|\bar{w}_0| - |\bar{w}_{i+1}|} a_{w_i, w_{i+1}} \right].$$

$$(0.8) \quad b'_{uv} = \sum_{\mathbf{w} \in \mathfrak{C}_{uv}} wt'(\mathbf{w}) \text{ with } wt'(\mathbf{w}) = \prod_{i=0}^{k-1} \left[ \frac{|\bar{w}_{i+1}| - |\bar{w}_i|}{|\bar{w}_i| - |\bar{w}_k|} a_{w_i, w_{i+1}} \right].$$

**0.2. Littlewood Richardson coefficients.** Our second result concerns the *Littlewood Richardson* coefficients  $c_{uv} := c_{uv}(p)$ , which are defined for each  $p \in \mathcal{R}$  by the product expansion

$$(0.9) \quad p(x) h_v(x) = \sum_u c_{uv} h_u(x).$$

THEOREM 0.2. *The following recursion characterizes  $c_{uv} := c_{uv}(p)$ :*

$$(0.10) \quad \text{(i) } c_{uu} = p(\bar{u}) \text{ (ii) } [|\bar{u}| - |\bar{v}|]c_{uv} = \sum_{w:\supset v} c_{uw} [|\bar{w}| - |\bar{v}|]a_{wv} - \sum_{w\subset u} [|\bar{u}| - |\bar{w}|]a_{uw}c_{wv}$$

*The matrices  $C = C(p) = (c_{uv})$  and  $D = D(p) = (p(\bar{u}) \delta_{uv})$  satisfy:*

$$(0.11) \quad \text{(i) } C = B^{-1}DB, \text{ (ii) } [Z, C] = [C, [Z, A]].$$

Of special interest are the Littlewood Richardson coefficients for  $h_w$ , which are defined as follows:

$$(0.12) \quad c_{vw}^u := c_{uv}(h_w).$$

These can be expressed entirely in terms of binomial coefficients. Define

$$\mathfrak{C}_{vw}^u(z) = \mathfrak{C}_{uz} \times \mathfrak{C}_{zw} \times \mathfrak{C}_{zv}, \quad \mathfrak{C}_{vw}^u = \cup_z \mathfrak{C}_{vw}^u(z)$$

and for  $\omega = (\mathbf{w}^1, \mathbf{w}^2, \mathbf{w}^3) \in \mathfrak{C}_{vw}^u$  define

$$wt(\omega) = wt'(\mathbf{w}^1) wt(\mathbf{w}^2) wt(\mathbf{w}^3) .$$

**THEOREM 0.3.** *The coefficient  $c_{vw}^u$  is given explicitly as follows:*

$$(0.13) \quad c_{vw}^u = \sum_z b'_{uz} b_{zw} b_{zv} = \sum_{\omega \in \mathfrak{C}_{vw}^u} wt(\omega)$$

**0.3. The symmetric case.** We now make the above results explicit in the symmetric case, and give an application of Theorem 0.3 to symmetric Macdonald polynomials.

**DEFINITION 0.4.** For  $\lambda \in \mathcal{P}_n$  we define

$$\bar{\lambda} = (\bar{\lambda}_1, \dots, \bar{\lambda}_n) \text{ where } \bar{\lambda}_i = q^{\lambda_i} t^{1-i}$$

For  $\lambda, \mu \in \mathcal{P}_n$  we write  $\lambda \supseteq \mu$  if  $\lambda_i \leq \mu_i$  for all  $i$ , so that the diagram of  $\lambda$  contains that of  $\mu$ . We write  $\lambda \supset \mu$  if  $\lambda \supseteq \mu$  and  $|\lambda| = |\mu| + 1$ .

By [19], [4] for each  $\lambda \in \mathcal{P}_n$  there exists a unique polynomial  $R_\lambda(x)$  in  $\mathbb{F}[x_1, \dots, x_n]^{S_n}$  such that

$$\deg(R_\lambda) = |\lambda|, \quad R_\lambda(\bar{\mu}) = \delta_{\lambda\mu} \text{ for } |\mu| \leq |\lambda|$$

**DEFINITION 0.5.** For  $\lambda, \mu \in \mathcal{P}_n$  we define the symmetric binomial coefficient to be  $b_{\lambda\mu} = R_\lambda(\bar{\mu})$ . If  $\lambda \supset \mu$  we write  $a_{\lambda\mu} = b_{\lambda\mu}$ .

Our result give an explicit formula for  $b_{\lambda\mu}$ . To state this formula we recall some standard notation related to partitions from [12].

The Young diagram of a partition  $\lambda$  is a left-justified array of boxes with  $\lambda_i$  boxes in row  $i$ . Transposing the diagram of  $\lambda$  gives the diagram of a new partition, usually denoted  $\lambda'$ , such that  $\lambda'_j$  is the length of the  $j$ th column of the diagram of  $\lambda$ . If  $s = (i, j)$  is the box in row  $i$  and column  $j$ ; we define the *arm* and *leg* of  $s$  to be

$$a(s) = \lambda_i - j, \quad l(s) = \lambda'_j - i.$$

and we define the  $(q, t)$ -hooklengths of  $\lambda$  as in [12, VI.8.1,1]:

$$(0.14) \quad \begin{aligned} c_\lambda(s) &= 1 - q^{a(s)} t^{l(s)+1}, \quad c_\lambda = \prod_{s \in \lambda} c_\lambda(s) \\ c'_\lambda(s) &= 1 - q^{a(s)+1} t^{l(s)}, \quad c'_\lambda = \prod_{s \in \lambda} c'_\lambda(s) \end{aligned}$$

If  $\lambda \supseteq \mu$  we write  $\lambda/\mu$  for the "skew" diagram consisting of the boxes in  $\lambda$  which are not in  $\mu$ . If  $\lambda \supset \mu$  then  $\lambda/\mu$  consists of a single box.

A standard skew tableau of shape  $\lambda/\mu$  is a labelling of the boxes of  $\lambda/\mu$  by the numbers  $1, 2, \dots, k$  where  $k = |\lambda| - |\mu|$ , such that the labels increase from left to right along each row and from top to bottom along each column. We write  $ST_{\lambda/\mu}$  for the set of such tableaux, which can also be regarded as sequences of partitions

$$\lambda = \lambda^0 \supset \lambda^1 \supset \dots \supset \lambda^k = \mu$$

where  $\lambda^i$  is obtained from  $\lambda$  by deleting the boxes with labels  $1, \dots, i$ .

**THEOREM 0.6.** *If  $\lambda \supset \mu$  with  $\lambda/\mu = (i, j)$ , let  $R_i$  and  $C_j$  denote the (other) boxes in row  $i$  and column  $j$ , respectively, then we have*

$$(0.15) \quad a_{\lambda\mu} = t^{1-i} \prod_{s \in C_j} \frac{c_\lambda(s)}{c_\mu(s)} \prod_{s \in R_i} \frac{c'_\lambda(s)}{c'_\mu(s)} .$$

DEFINITION 0.7. If  $T \in ST_{\lambda/\mu}$  with  $T = (\lambda = \lambda^0 : \supset \lambda^1 : \supset \dots : \supset \lambda^k = \mu)$  we define

$$wt(T) = \prod_{i=0}^{k-1} \left[ \frac{|\overline{\lambda^i}| - |\overline{\lambda^{i+1}}|}{|\overline{\lambda^i}| - |\overline{\lambda^{i+1}}|} a_{\lambda_i, \lambda_{i+1}} \right], \quad wt'(T) = \prod_{i=0}^{k-1} \left[ \frac{|\overline{\lambda^i}| - |\overline{\lambda^{i+1}}|}{|\overline{\mu}| - |\overline{\lambda^i}|} a_{\lambda_i, \lambda_{i+1}} \right]$$

THEOREM 0.8. If  $\lambda \not\supseteq \mu$  then  $b_{\lambda\mu} = 0$ . If  $\lambda \supseteq \mu$  then we have

$$b_{\lambda\mu} = \sum_{T \in ST_{\lambda/\mu}} wt(T)$$

Moreover if we define

$$b'_{\lambda\mu} := \sum_{T \in ST_{\lambda/\mu}} wt'(T)$$

then we have

$$\sum_{\mu} b_{\lambda\mu} b'_{\mu\nu} = \delta_{\lambda\nu}$$

DEFINITION 0.9. For  $p(x) \in \mathbb{F}[x_1, \dots, x_n]^{S_n}$  we define its Littlewood-Richardson coefficients  $c_{\lambda\mu} = c_{\lambda\mu}(p)$  via the product expansion

$$p(x) R_{\mu}(x) = \sum_{\lambda} c_{\lambda\mu} R_{\lambda}(x).$$

We also define

$$c_{\mu\nu}^{\lambda} = c_{\lambda\mu}(R_{\nu}) = c_{\lambda\nu}(R_{\mu})$$

THEOREM 0.10. The coefficients  $c_{\lambda\mu} = c_{\lambda\mu}(p)$  are characterized as follows

$$(i) \ c_{\lambda\lambda} = p(\overline{\lambda}) \quad (ii) \ [|\overline{\lambda}| - |\overline{\mu}|] c_{\lambda\mu} = \sum_{\nu: \supset \mu} c_{\lambda\nu} [|\overline{\nu}| - |\overline{\mu}|] a_{\nu\mu} - \sum_{\nu \subset: \lambda} [|\overline{\lambda}| - |\overline{\nu}|] a_{\lambda\nu} c_{\nu\mu}$$

Moreover we have

$$c_{\mu\nu}^{\lambda} = \sum_{\kappa} b'_{\lambda\kappa} b_{\kappa\mu} b_{\kappa\nu}$$

**0.4. Macdonald polynomials.** We now give an application of Theorem 0.10 to Macdonald polynomials.

Let  $J_{\lambda}(x; q, t)$  be the "integral form" of the symmetric Macdonald polynomial as in [12, VI.8.3]. The  $J_{\lambda}$  are orthogonal with respect to the  $(q, t)$ -inner product  $\langle \cdot, \cdot \rangle$  defined in [12, VI.1.5]. By [12, VI.8.7] we have

$$(0.16) \quad \langle J_{\lambda}, J_{\mu} \rangle = j_{\lambda} \delta_{\lambda\mu} \text{ where } j_{\lambda} = c_{\lambda} c'_{\lambda}$$

Using Theorem 0.3 we can obtain an explicit formula for the scalar product  $\langle J_{\lambda}, J_{\mu} J_{\nu} \rangle$ .

DEFINITION 0.11. For  $\lambda, \mu, \nu$  in  $\mathcal{P}_n$  we define

$$n(\lambda) = \sum_i (i-1) \lambda_i = \sum_{(i,j) \in \lambda} (i-1) = \sum_j \lambda'_j (\lambda'_j - 1) / 2$$

$$n(\lambda, \mu, \nu) = n(\lambda) - n(\mu) - n(\nu)$$

THEOREM 0.12. We have

$$(0.17) \quad \langle J_{\lambda}, J_{\mu} J_{\nu} \rangle = \begin{cases} c_{\mu\nu}^{\lambda} j_{\mu} j_{\nu} q^{-n(\lambda', \mu', \nu')} t^{2n(\lambda, \mu, \nu)} & \text{if } |\lambda| = |\mu| + |\nu| \\ 0 & \text{else} \end{cases}$$

**0.5. Remarks.**

- (1) The definitions and notations for the symmetric interpolation polynomials are slightly different in [19], [4], and [15]. The precise connection between these definitions is explained on P. 471 of [21].
- (2) The nonsymmetric analog of Theorem 0.6 is contained in [1, Cor 4.2], and we give a concise reformulation. Suppose  $\eta : \supset \gamma \in \mathcal{C}_n$ , let  $1 \leq i_1 < \dots < i_k \leq n$  be the corresponding indices as in (1.1), and for  $1 \leq j \leq n$  define constants  $a_j, a'_j \in \mathbb{F}$  as follows:

$$a_j = \begin{cases} \bar{\gamma}_{i_l} & j \in [i_{l-1}, i_l) \\ q\bar{\gamma}_{i_1} & j \geq i_k \end{cases}, \quad a'_j = \begin{cases} \bar{\gamma}_{i_l} & j \in (i_{l-1}, i_l] \\ q\bar{\gamma}_{i_1} & j > i_k \end{cases}.$$

Then we have

$$(0.18) \quad a_{\eta\gamma} = \frac{a_n - t^{1-n}}{1-t} \cdot \prod_{j=1}^n \frac{a'_j - t\bar{\gamma}_j}{a_j - \bar{\gamma}_j}$$

The analogs of Theorems 0.8 and 0.10 are straightforward.

- (3) The nonsymmetric analog of Theorem 0.12 involves three steps. We sketch the argument below and we leave the details to the interested reader.
  - The first step is to define the analog of the  $(q, t)$ -scalar product for nonsymmetric Macdonald polynomials. This involves a reinterpretation of the results of [14] along the lines of [20]. Note however that the natural scalar product is *Hermitian* (with  $q^* = q^{-1}, t^* = t^{-1}$ ).
  - The second step is to define the integral form of the nonsymmetric Macdonald polynomials and compute its norm explicitly.
  - Finally one needs to compute the precise normalization constant relating the integral nonsymmetric Macdonald polynomial and the top term of the nonsymmetric interpolation polynomial.
- (4) The results of this paper in the limiting case of Jack polynomials were obtained in [22].

**1. Proofs of Theorems 0.1, 0.2, 0.3**

**1.1. Preliminaries.** In this section we recall the definition of the partial order  $\supseteq$  and the map  $u \mapsto \bar{u}$  on the index set  $L$ . For  $L = \mathcal{P}_n$  these are defined as in Definition 0.4.

For  $L = \mathcal{C}_n$ , the definition of  $\supseteq$  is due to [4]. For  $\gamma, \eta$  in  $\mathcal{C}_n$ , we write  $\eta : \supset \gamma$  if there are indices  $1 \leq i_1 < \dots < i_k \leq n$  such that

$$(1.1) \quad \eta_i = \begin{cases} \gamma_{i_1} + 1 & \text{if } i = i_k \\ \gamma_{i_{j+1}} & \text{if } i = i_j, j < k \\ \gamma_i & \text{otherwise} \end{cases}$$

DEFINITION 1.1. [4] We define the partial order  $\supseteq$  on  $\mathcal{C}_n$  to be the transitive closure of  $: \supset$ ; conversely  $: \supset$  is the covering relation of  $\supseteq$ .

For  $L = \mathcal{C}_n$  the definition of  $\bar{u}$  is due to ([6], [19], [4]), and involves the permutation action of the symmetric group  $S_n$  on  $n$ -tuples (in  $\mathcal{C}_n, \mathbb{F}^n, \mathbb{Z}^n$ , etc.). The  $S_n$ -orbit of  $\eta \in \mathcal{C}_n$  contains a unique partition that we denote  $\eta_+$ . The set  $\{\sigma \in S_n : \sigma(\eta_+) = \eta\}$  contains a unique element of minimal length that we denote by  $\sigma_\eta$ . (Here, as usual, the length of a permutation  $\sigma$  is the number of  $\sigma$ -inversions, i.e. pairs of indices  $1 \leq i < j \leq n$  such that  $\sigma(i) > \sigma(j)$ .)

DEFINITION 1.2. For  $\eta$  in  $\mathcal{C}_n$  we define  $\bar{\eta} \in \mathbb{F}^n$  to be

$$(1.2) \quad \bar{\eta} := \sigma_\eta(\bar{\eta}_+)$$

REMARK 1.3. The restrictions of  $(\supseteq, u \mapsto \bar{u})$  from  $\mathcal{C}_n$  to  $\mathcal{P}_n$  agree with the corresponding structures on  $\mathcal{P}_n$ .

Let  $L$  denote  $\mathcal{C}_n$  or  $\mathcal{P}_n$ , and let  $\mathcal{R}$  denote  $\mathbb{F}[x_1, \dots, x_n]$  or  $\mathbb{F}[x_1, \dots, x_n]^{S_n}$  accordingly. We recall that  $|u| := u_1 + \dots + u_n$ , and for  $d \in \mathbb{Z}_{\geq 0}$  we define

$$\mathcal{R}_d = \{p \in \mathcal{R} \mid \deg(p) \leq d\}, \quad L_d = \{u \in L \mid |u| \leq d\}, \quad \bar{L}_d = \{\bar{u} \mid u \in L_d\}$$

The following result is key to the definition of interpolation polynomials  $h_v$ .

PROPOSITION 1.4. [19, 4] *A polynomial in  $\mathcal{R}_d$  is determined by its values on  $\bar{L}_d$ .*

We briefly sketch the argument. In the symmetric case the main idea goes back to [18] and arose in connection with author’s joint work with B. Kostant [8, 9] on the Capelli identity. Evaluation gives a linear map  $Ev : \mathcal{R}_d \rightarrow \mathbb{F}^{\bar{L}_d}$  and the proposition asserts that this is an isomorphism. We first note that both spaces have dimension  $\#(L_d)$ ; this is obvious for  $\mathbb{F}^{\bar{L}_d}$ , while for  $\mathcal{R}_d$  it follows by expressing a (symmetric) polynomial in terms of (symmetric) monomials. Therefore it suffices to prove that  $Ev$  is surjective, which can be carried out by induction on  $d$ .

Interpolation polynomials are images of delta functions under  $Ev^{-1}$ .

DEFINITION 1.5.  $h_v(x)$  is the unique polynomial in  $\mathcal{R}_{|v|}$  satisfying

$$h_v(\bar{u}) = \delta_{uv} \text{ for all } u \in L_{|v|}$$

The following "extra" vanishing result relates  $h_v(x)$  and  $\supseteq$ .

PROPOSITION 1.6. [6, 4] *We have  $h_v(\bar{u}) = 0$  unless  $u \supseteq v$ .*

**1.2. Proofs.** The proof of Theorem 0.1 depends on the following simple identity for  $h_v(x)$ .

PROPOSITION 1.7. *Let  $|x|$  denote  $x_1 + \dots + x_n$ , then we have*

$$(1.3) \quad (|x| - |\bar{v}|) h_v(x) = \sum_{w: \supseteq v} (|\bar{w}| - |\bar{v}|) a_{wv} h_w(x)$$

PROOF. Both sides of (1.3) are polynomials of degree  $d = |v| + 1$ . By Proposition 1.4 it suffices to show that they agree on  $\bar{L}_d$ . Now let  $x = \bar{u}$ , then by formula (0.2) both sides vanish if  $|u| < d$  and both become  $(|\bar{u}| - |\bar{v}|) a_{uv}$  if  $|u| = d$ .  $\square$

PROOF OF THEOREM 0.1. We first prove (0.4). By formulas (0.2), (0.3) we get  $b_{uu} = h_u(\bar{u}) = 1$ , which is (0.4i). Next (0.4ii) follows from Proposition 1.7 by setting  $x = \bar{u}$  in (1.3) and using formulas (0.2), (0.3). Finally (0.4) characterizes  $b_{uv}$  by induction on  $|u| - |v|$ .

Next note that (0.6i) is equivalent (0.4ii), and (0.6ii) is equivalent (0.5ii). Also (0.6ii) is equivalent to (0.6i) since

$$[Z, B^{-1}] = -B^{-1}(ZB - BZ)B = -B^{-1}[Z, B]B$$

This proves (0.6) and (0.5ii). Now (0.5i) is obvious, and (0.5) characterizes  $b'_{uv}$  by induction on  $|u| - |v|$ .

We next prove (0.7). Let  $\bar{b}_{uv}$  temporarily denote the sum in (0.7). It suffices to verify that  $\bar{b}_{uv}$  satisfies the recursion (0.4). Now (0.4i) holds since  $\bar{b}_{uu}$  involves the

single chain  $\mathbf{w} = (u, u)$  whose weight is the empty product 1. For (0.4ii) we observe that

$$wt(\mathbf{w}) = wt(\bar{\mathbf{w}}) \frac{|w_{k-1}| - |v|}{|\bar{u}| - |v|} a_{w_{k-1}, v} \text{ where } \bar{\mathbf{w}} = (w_0, w_1, \dots, w_{k-1})$$

Therefore collecting the terms in (0.7) with  $w_{k-1} = w$ , we get

$$\bar{b}_{uv} = \sum_{w: \supset v} \left[ \sum_{\bar{\mathbf{w}} \in \mathfrak{C}_{uw}} wt(\bar{\mathbf{w}}) \right] \frac{|\bar{w}| - |v|}{|\bar{u}| - |v|} a_{wv} = \sum_{w: \supset v} \bar{b}_{uw} \frac{|\bar{w}| - |v|}{|\bar{u}| - |v|} a_{wv},$$

which is (0.4ii). Therefore  $\bar{b}_{uv} = b_{uv}$  for all  $u, v$ . The proof of (0.8) is similar.  $\square$

PROOF OF THEOREM 0.2. We first prove (0.11). Substituting  $x = \bar{w}$  in (0.9) we get

$$p(\bar{w}) h_v(\bar{w}) = \sum_u c_{uv} h_u(\bar{w}).$$

By (0.2,0.3) this becomes

$$d_{wv} b_{wv} = \sum_u b_{wu} c_{uv},$$

Hence we obtain the matrix identity  $DB = BC$ , which is equivalent to (0.11i).

To prove (0.11ii) we calculate as follows:

$$[Z, C] = [Z, B^{-1}DB] = [Z, B^{-1}]DB + B^{-1}[Z, D]B + B^{-1}D[Z, B]$$

The middle term vanishes since  $Z$  and  $D$  are both diagonal matrices. The first and last terms can be computed by formula (0.6) and we get

$$[Z, C] = -[Z, A]B^{-1}DB + B^{-1}DB[Z, A] = -[Z, A]C + C[Z, A] = [C, [Z, A]]$$

We now prove (0.10). Since  $B$  is unitriangular, (0.11i) implies that  $C$  and  $D$  have the same diagonal entries, which is (0.10i). Next (0.10ii) is equivalent to (0.11ii). Finally (0.11) characterizes  $c_{uv}$  by induction on  $|u| - |v|$ .  $\square$

PROOF OF THEOREM 0.3. For  $p = h_w$ , the diagonal matrix  $D = D(h_w)$  has diagonal entries  $d_{zz} = h_w(\bar{z}) = b_{zw}$ . By formula (0.11ii) we have

$$c_{vw}^u = \sum_z b'_{uz} d_{zz} b_{zv} = \sum_z b'_{uz} b_{zw} b_{zv}$$

which is the first equality in (0.13). The second equality follows from (0.7), (0.8).  $\square$

## 2. Proofs of Theorems 0.6, 0.8, 0.10, 0.12

**2.1. Preliminaries.** In this section we recall some basic results on the symmetric interpolation polynomials  $R_\lambda(x)$ , which are needed for the proofs of Theorems 0.6, 0.8, 0.10, 0.12 below.

We write  $\mathcal{R}_d^{(n)} := \left\{ p \in \mathbb{F}[x_1, \dots, x_n]^{S_n} \mid \deg(p) \leq d \right\}$  and define the symmetrized monomials

$$m_\lambda = \sum_{\sigma \in D(\lambda)} x_1^{\sigma_1} \cdots x_n^{\sigma_n} \text{ for } \lambda \in \mathcal{P}_n$$

where  $D(\lambda)$  denotes the set of all distinct rearrangements of  $\lambda$ . Also define maps  $\omega : \mathcal{R}_d^{(n-1)} \rightarrow \mathcal{R}_d^{(n)}, \tau : \mathcal{R}_d^{(n)} \rightarrow \mathcal{R}_d^{(n)}, \tau' : \mathcal{R}_d^{(n-1)} \rightarrow \mathcal{R}_d^{(n-1)}, v : \mathcal{R}_d^{(n)} \rightarrow \mathcal{R}_{d+n}^{(n)}$

$$(2.1) \quad \begin{aligned} \omega(m_{\lambda_1, \dots, \lambda_{n-1}}) &= m_{\lambda_1, \dots, \lambda_{n-1}, 0}, \text{ extended by linearity} \\ (\tau f)(x_1, \dots, x_n) &= f(x_1 - t^{1-n}, \dots, x_n - t^{1-n}) \\ (\tau' f)(x_1, \dots, x_{n-1}) &= f(x_1 + t^{1-n}, \dots, x_{n-1} + t^{1-n}) \\ (vf)(x) &= f(q^{-1}x) \prod_{j=1}^n \frac{x_j - t^{1-n}}{\lambda_j - t^{1-n}} \end{aligned}$$

PROPOSITION 2.1. *If  $\lambda \in \mathcal{P}_n$  with  $|\lambda| = d$  there is a unique  $R_\lambda(x) \in \mathcal{R}_d^{(n)}$  with*

$$(2.2) \quad R_\lambda(\bar{\mu}) = \delta_{\lambda\mu} \text{ for all partitions } \mu \text{ with } |\mu| \leq d$$

Moreover if  $\lambda_n > 0$ , then

$$(2.3) \quad R_\lambda = v(R_{\lambda-\varepsilon}) \text{ for } \varepsilon = (1, \dots, 1)$$

If  $\lambda_n = 0$  then there is a unique  $S(x) \in \mathcal{R}_{d-n}^{(n)}$  such that

$$(2.4) \quad R_\lambda = (\tau\omega\tau')(R_{\lambda^-}) + v(S) \text{ for } \lambda^- = (\lambda_1, \dots, \lambda_{n-1})$$

This is proved in ([19], [4]). The function  $S(x)$  is chosen by induction so that the right side of (2.4) vanishes for  $\bar{\mu}$  if  $|\mu| \leq d$  and  $\mu_n > 0$ . One verifies that (2.3) and (2.4) serve to define  $R_\lambda(x)$  by induction on  $n + |\lambda|$ .

As shown in ([19], [4]), the polynomials  $R_\lambda(x)$  are eigenfunctions for certain difference operators. We recall the result below:

PROPOSITION 2.2. *Let  $D_1$  be the operator defined by*

$$D_1 = \sum_k A_k(x) (1 - T_k).$$

where

$$A_k(x) = (1 - t^{1-n}x_k^{-1}) \prod_{l \neq k} \frac{x_k - tx_l}{x_k - x_l}$$

and  $T_k$  is the  $k$ -th  $q^{-1}$ -shift operator

$$T_k f(x_1, \dots, x_n) = f(x_1, \dots, q^{-1}x_k, \dots, x_n)$$

then we have

$$(2.5) \quad D_1 R_\mu(x) = [\sum_k (t^{k-1} - \bar{\mu}_k^{-1})] R_\mu(x)$$

**2.2. Proofs.**

PROOF OF THEOREM 0.6. We evaluate (2.5) at  $x = \bar{\lambda}$  to get

$$[\sum_k (t^{k-1} - \bar{\mu}_k^{-1})] R_\mu(\bar{\lambda}) = [\sum_k A_k(\bar{\lambda})] R_\mu(\bar{\lambda}) - \sum_k A_k(\bar{\lambda}) R_\mu(\overline{\lambda - \varepsilon_k})$$

which we rewrite as follows:

$$(2.6) \quad [\sum_k A_k(\bar{\lambda}) - \sum_k (t^{k-1} - \bar{\mu}_k^{-1})] R_\mu(\bar{\lambda}) = \sum_k A_k(\bar{\lambda}) R_\mu(\overline{\lambda - \varepsilon_k})$$

As in Lemma 3.5 of [19], we have

$$A_k(\bar{\lambda}) R_\mu(\overline{\lambda - \varepsilon_k}) = 0 \text{ if } k \neq i$$

and by Lemma 3.3 of [19], we have

$$\sum_k A_k(\bar{\lambda}) = \sum_k (t^{k-1} - \bar{\lambda}_k^{-1})$$



Since  $\bar{\mu}_k = \bar{\lambda}_k$  for  $k \neq i$ , (2.6) can be rewritten as

$$(\bar{\mu}_i^{-1} - \bar{\lambda}_i^{-1}) R_\mu(\bar{\lambda}) = A_i(\bar{\lambda}) = (1 - t^{1-n} \bar{\lambda}_i^{-1}) \prod_{l \neq i} \frac{\bar{\lambda}_i - t \bar{\lambda}_l}{\bar{\lambda}_i - \bar{\lambda}_l}$$

Substituting  $\bar{\mu}_i = q^{-1} \bar{\lambda}_i$  and rewriting, we get

$$R_\mu(\bar{\lambda}) = \frac{\bar{\lambda}_i - t^{1-n}}{q-1} \prod_{l \neq i} \frac{\bar{\lambda}_i - t \bar{\lambda}_l}{\bar{\lambda}_i - \bar{\lambda}_l}$$

To complete the proof of (0.15) it suffices to verify the two identities

$$(2.7) \quad \prod_{s \in C_j} \frac{c_\lambda(s)}{c_\mu(s)} = \prod_{l < i} \frac{\bar{\lambda}_i - t \bar{\lambda}_l}{\bar{\lambda}_i - \bar{\lambda}_l}$$

$$(2.8) \quad t^{1-i} \prod_{s \in R_i} \frac{c'_\lambda(s)}{c'_\mu(s)} = \frac{\bar{\lambda}_i - t^{1-n}}{q-1} \prod_{l > i} \frac{\bar{\lambda}_i - t \bar{\lambda}_l}{\bar{\lambda}_i - \bar{\lambda}_l}.$$

Now  $C_j$  consists of boxes  $\{(l, j) \mid l < i\}$ . For  $s = (l, j) \in C_j$  we have

$$a_\lambda(s) = a_\mu(s) = \lambda_l - j = \lambda_l - \lambda_i; \quad l_\lambda(s) = l_\mu(s) + 1 = i - l.$$

$$\frac{c_\lambda(s)}{c_\mu(s)} = \frac{1 - q^{a_\lambda(s)} t^{l_\lambda(s)+1}}{1 - q^{a_\mu(s)} t^{l_\mu(s)+1}} = \frac{1 - q^{\lambda_l - \lambda_i} t^{i-l+1}}{1 - q^{\lambda_l - \lambda_i} t^{i-l}} = \frac{q^{\lambda_i} t^{1-i} - q^{\lambda_i} t^{2-l}}{q^{\lambda_i} t^{1-i} - q^{\lambda_i} t^{1-l}} = \frac{\bar{\lambda}_i - t \bar{\lambda}_l}{\bar{\lambda}_i - \bar{\lambda}_l}$$

which implies (2.7).

We now prove (2.8). Denote the left and right sides of (2.8) by  $X(\lambda, i)$  and  $Y(\lambda, i)$  respectively. First suppose  $j = 1$ . Then  $R_i$  is the empty set and  $X(\lambda, i) = t^{1-i}$ . Also we have  $\lambda_i = 1$  and  $\lambda_l = 0$  for  $l > i$  therefore we get

$$Y(\lambda, i) = \frac{qt^{1-i} - t^{1-n}}{q-1} \prod_{l=i+1}^n \frac{qt^{1-i} - t^{2-l}}{qt^{1-i} - t^{1-l}} = t^{1-i} = X(\lambda, i)$$

Now suppose  $j > 1$ . Let  $k$  be the largest index such that  $\lambda_k > 0$  and define

$$\lambda^* = (\lambda_1 - 1, \dots, \lambda_k - 1, 0, \dots, 0)$$

Note that necessarily  $k \geq i$ . Now we have

$$\frac{X(\lambda, i)}{X(\lambda^*, i)} = \frac{c'_\lambda(1, i)}{c'_\mu(1, i)} = \frac{1 - q^j t^{k-i}}{1 - q^{j-1} t^{k-i}}$$

Also for  $l \leq k$  the ratios  $\frac{\bar{\lambda}_i - t \bar{\lambda}_l}{\bar{\lambda}_i - \bar{\lambda}_l}$  are unchanged when we replace  $\lambda$  by  $\lambda^*$ . Thus

$$\begin{aligned} \frac{Y(\lambda, i)}{Y(\lambda^*, i)} &= \frac{q^{\lambda_i} t^{1-i} - t^{1-n}}{q^{\lambda_i-1} t^{1-i} - t^{1-n}} \prod_{l=k+1}^n \frac{q^{\lambda_i} t^{1-i} - t^{2-l}}{q^{\lambda_i} t^{1-i} - t^{1-l}} \prod_{l=k+1}^n \frac{q^{\lambda_i-1} t^{1-i} - t^{1-l}}{q^{\lambda_i-1} t^{1-i} - t^{2-l}} \\ &= \frac{q^{\lambda_i} t^{1-i} - t^{2-(k+1)}}{q^{\lambda_i-1} t^{1-i} - t^{2-(k+1)}} = \frac{1 - q^j t^{k-i}}{1 - q^{j-1} t^{k-i}} = \frac{X(\lambda, i)}{X(\lambda^*, i)} \end{aligned}$$

and the identity  $X(\lambda, i) = Y(\lambda, i)$  follows by induction on  $|\lambda|$ . □

Theorems 0.8, 0.10 now follow from Theorems 0.1, 0.2, respectively. For the proof of Theorems 0.12 we need a preliminary result.

LEMMA 2.3. *Let  $k_\lambda$  be the coefficient of  $m_\lambda(x)$  in  $R_\lambda(x)$ ; then*

$$(2.9) \quad k_\lambda = (-1)^{|\lambda|} t^{2n(\lambda)} q^{-n(\lambda')} / c'_\lambda.$$

PROOF. We proceed by induction on  $n + |\lambda|$ . The result is obvious for  $n + |\lambda| = 0$ , and so we may suppose  $n + |\lambda| > 0$ .

If  $\lambda_n = 0$  then  $R_\lambda = (\tau\omega\tau')(R_{\lambda^-}) + v(S)$  by formula (2.4). Now  $\tau, \tau'$  do not change the leading terms of a polynomial,  $\omega$  maps  $m_{\lambda^-}$  to  $m_\lambda$ , and the coefficient of  $m_\lambda$  in  $v(S)$  is 0. Therefore we deduce that  $k_\lambda = k_{\lambda^-}$ , and since the right side of (2.9) is unchanged under passage from  $\lambda$  to  $\lambda^-$  the equality (2.9) holds by induction.

If  $\lambda_n > 0$  then let  $\mu = \lambda - \varepsilon$ . By formula (2.3) we deduce

$$k_\mu/k_\lambda = q^{|\mu|} \prod_{j=1}^n (\bar{\lambda}_j - t^{1-n}) = (-1)^n q^{|\mu|} t^{n(1-n)} \prod_{j=1}^n (1 - q^{\lambda_j} t^{n-j})$$

Therefore by induction we get

$$k_\lambda = \frac{(-1)^{|\mu|} t^{2n(\mu)} q^{-n(\mu')}/c'_\mu}{(-1)^n q^{|\mu|} t^{n(1-n)} \prod_{j=1}^n (1 - q^{\lambda_j} t^{n-j})} = (-1)^{|\lambda|} \frac{t^{2n(\mu)+n(n-1)} q^{-n(\mu')-|\mu|}}{c'_\mu \prod_{j=1}^n (1 - q^{\lambda_j} t^{n-j})}$$

To complete the proof it suffices to verify the following identities for  $\lambda = \mu + \varepsilon$

$$2n(\lambda) = 2n(\mu) + n(n-1), \quad n(\lambda') = n(\mu') + |\mu|, \quad c'_\lambda = c'_\mu \prod_{j=1}^n (1 - q^{\lambda_j} t^{n-j})$$

whose (easy) verifications we leave to the reader. □

PROOF OF THEOREM 0.12. For two polynomials  $p(x), q(x)$  in  $\mathbb{F}[x_1, \dots, x_n]$  we write  $p \sim_d q$  if  $p - q$  has total degree  $< d$ .

As shown in [12], the coefficient of  $m_\lambda$  in  $J_\lambda(x)$  is  $c_\lambda$ . Therefore if we define

$$(2.10) \quad r_\lambda = c_\lambda/k_\lambda = (-1)^{|\lambda|} t^{-2n(\lambda)} q^{n(\lambda')} j_\lambda$$

then by Lemma 2.3 we get

$$J_\lambda(x) \sim_{|\lambda|} r_\lambda R_\lambda(x)$$

Therefore if  $d = |\mu| + |\nu|$  then by Definition 0.9 we get

$$J_\mu J_\nu \sim_d r_\mu r_\nu R_\mu R_\nu = \sum_{|\lambda| \leq d} r_\mu r_\nu c_{\mu\nu}^\lambda R_\lambda \sim_d \sum_{|\lambda|=d} r_\mu r_\nu r_\lambda^{-1} c_{\mu\nu}^\lambda J_\lambda.$$

Since since the first and last polynomials are homogenous of degree  $d$ , they are equal. Therefore by (0.16) we get

$$\langle J_\lambda, J_\mu J_\nu \rangle = \begin{cases} r_\mu r_\nu r_\lambda^{-1} c_{\mu\nu}^\lambda j_\lambda & \text{if } |\lambda| = |\mu| + |\nu| \\ 0 & \text{else} \end{cases}.$$

To complete the proof it suffices to verify that

$$r_\mu r_\nu r_\lambda^{-1} j_\lambda = j_\mu j_\nu q^{-n(\lambda', \mu', \nu')} t^{2n(\lambda, \mu, \nu)} \text{ if } |\lambda| = |\mu| + |\nu|$$

which follows immediately from (2.10). □

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